

RIA / PMRN CODE	RIA / PMRN NAME	TIME STAMP HERE
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## 1. APPLICANT DETAILS (Name should be as per PAN / Income Tax Department)

**Applicant Name**

PAN  CKYC KIN No.  (If Available) DOI

Name of Contact Person

Mobile No.  Email ID

## 2. STATUS OF APPLICANT (Please tick ✓)

☐ Private Ltd. Co.   ☐ Partnership Firm   ☐ Financial Institution   ☐ Mutual Fund   ☐ Provident Fund   ☐ Defence Establishment  
☐ Public Ltd. Co.   ☐ HUF   ☐ Bank   ☐ FPI Category -I / II / III   ☐ NPS Trust   ☐ Others \_\_\_\_\_  
☐ LLP   ☐ AOP / BOI   ☐ Govt. Body   ☐ Insurance Co.   ☐ Supperannuation / Pension Fund

☐ Trust   ☐ No  
☐ Society   ☐ Yes, NPO Reg. No. \_\_\_\_\_

Are you a Non-Profit Organization constituted and registered as a Trust or Society under Societies Registration Act, 1860 for religious or charitable purpose as referred to in Clause (15) of Section 2 of the Income Tax Act, 1961, or a company registered under Section 8 of the Companies Act. 2013.

## 3. CORRESPONDENCE ADDRESS (As per KYC records)

City  State

Country  Pincode

Type of address given at KRA ☐ Residential ☐ Business ☐ Registered Office

## 4. BANK ACCOUNT (PAY-OUT) DETAILS

Bank Name  Please provide Bank Account details proof.

Account No.  Account Type ☐ Current ☐ Others \_\_\_\_\_

11 Digit IFSC  Branch City

**5. LEI No.**  Expiry Date

Non-Individuals who transact / propose to transact for an amount of ₹ 50 Crores or more.

## 6. UNIT HOLDING OPTION: ☐ Physical Mode (Default) ☐ Demat Mode (Enclose Latest Client Master / Demat Account Statement)

Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL) Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the demat account.

NSDL	DP ID	I	N	Beneficiary Account No.	<input type="text"/>
CDSL	Beneficiary Account No. <input type="text"/>				

PAN	<input type="text"/>	TIME STAMP HERE
Name of Investor	<input type="text"/>	

## 7. DECLARATION & SIGNATURE(S)

☐ I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stockbroker registered in the concerned folio, if applicable. Investors providing Email Id would mandatorily receive E - Statement of Accounts in lieu of physical Statement of Accounts and the annual report or abridged summary on email. (Please register your Mobile No & Email Id with us to get instant transaction alerts via SMS & Email.)

I/ We hereby give consent to the AMC / MF to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotional/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility. I/We understand that any future investments under this folio shall be made only after reviewing and understanding the relevant scheme related documents including Scheme Information Document (SID), Key Information Memorandum (KIM) and Statement of Additional Information (SAI), as applicable at the time of investment and confirm my / our eligibility to invest in the Scheme as per the Scheme Related Documents and I / We confirm that I am / We are not barred by any legal authority. I / We confirm that all information provided is true and complete and will promptly inform the AMC/Fund/RTA of any change and provide additional details, if required. I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC. I/We accept full responsibility for any loss that can be caused to the AMC/Fund/Trustee/ Sponsors and their officers, directors and employees due to false or incomplete information provided by me/us and agree to indemnify against all related claims, losses or disputes regarding my/our transactions in future. I/We have not received nor been induced by any rebate or gifts, directly or indirectly. I/We authorize sharing of my/our aforementioned information, including updates, with the MF, AMC, RTA, SEBI registered intermediaries, third party service providers and Indian or foreign authorities, subject to applicable laws, without prior notice to me / us. I/We acknowledge and accept the FATCA/CRS declarations and undertake to comply with applicable tax and regulatory obligations. I/We hereby provide consent for uploading/updating/fetching CKYC record from Central KYC Records Registry

I/We consent to the AMC/MF for collecting, storing, and using my/our personal information for processing this application, delivering subscribed services and fulfilling legal and regulatory obligations.

**APPLICABLE FOR NRIs/PIOs/FPIs/OCIs (FOR PROSPECTIVE INVESTMENTS ON REPATRIATION BASIS):** I/We confirm that any future investments will be made from funds remitted through approved banking channels.

### Sign of Authorised Signatory/ies & Seal of Entity

Authorised Signatory	Authorised Signatory	Authorised Signatory	Authorised Signatory
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**Please ensure that your New Folio Application Form is complete in all respect and signed. Documents as listed below are submitted along with the Application Form (as applicable to your specific case).**

Investors should retain the acknowledgement evidencing submission of the application till they receive a confirmation of acceptance or rejection of application. In case of difference of details in acknowledgement vis-à-vis actual application, the details as mentioned on application will prevail.

## CHECKLIST

Sr. No.	Documents	HUF	Companies / Trusts / Societies / Partnership Firms / LLP	FPI ^
1	Valid KYC status of Investor	●	●	●
2	FATCA & CRS	●	●	●
3	Ultimate Beneficial Owner (UBO) with their valid KYC status	●	●	
4	List of Authorised Signatories with Specimen Signature(s) @		●	●
5	Board / Committee Resolution / Authority Letter		●	●
6	NPO Declaration for Trust & Society		●	
7	Certificate of registration granted by Designated Depository Participant on behalf of SEBI			●
8	Notarised copy of POA			●
9	Bank Details Proof# (Cancelled cheque leaf /Copy of Bank statement / Copy of Bank Passbook) should not be older than 3 months	●	●	●

@Should be original or true copy certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

^ As per prevailing SEBI (FPI) Regulations, 2019, FPIs can invest in Indian Securities only through Stock Broker and in demat mode only.

#Attach Bank proof with name & account number pre-printed or copy should be produced for verification.

### Additional documents required from FPI :

- 1) Certified true copy of BR and ASL of Custodian
- 2) Certificate form Bank for fund transfer from Special Non Resident Rupee Account.
- 3) KYC of Custodian.

## Instructions / Terms & Conditions

### General Instructions

- Investors are requested to read the Key Information Memorandum (KIM), Scheme Information Document (SID), Statement of Additional Information (SAI), and addenda issued from time to time (collectively referred to as the Scheme Documents) carefully before investing in the Scheme.
- Application Forms complete in all respects, may be submitted at any Official Points of Acceptance (OPA) of Jio BlackRock Asset Management Private Limited. For locations, please visit [www.jioblackrockamc.com](http://www.jioblackrockamc.com).
- The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick the relevant options wherever applicable. Do not overwrite. Any correction or change made in the Application Form should be countersigned by the investor(s).
- Applications incomplete in any respect are liable to be rejected. Jio BlackRock Asset Management Private Limited (the AMC) / Jio BlackRock Trustee Private Limited (Trustee) have absolute discretion to reject any such Application Forms.
- Copies of the documents submitted should be accompanied by originals for verification. In case originals are not produced, the AMC/Trustee reserves the right to seek attested copies of the relevant documents.
- Investors should retain the acknowledgement evidencing submission of the application till they receive a confirmation of acceptance or rejection of application. In case of difference of details in acknowledgement vis-à-vis actual application document, the details as mentioned on application document will prevail.

### Investor Information

- Name should be given in full without any abbreviations as per PAN only, for the investor including POA.
- Please provide the Name of the Contact Person, along with Email ID and Mobile / Number.
- Investors must indicate their tax status by ticking the appropriate checkbox. For new investments, if no status is selected or an incorrect status is provided, the AMC reserves the right to determine the correct status based on available information such as PAN or such other information of the investor available with the AMC. The AMC shall not be responsible for any claims made by the investor/third party on account of updation of tax status. If "Others" is selected, the specific nature of the status must be clearly mentioned in the space provided.

### Permanent Account Number (PAN)

- SEBI has made it mandatory for all investors to mention the PAN details irrespective of the amount of investment, except the following categories:
- Investments by Central and State Government and Officials Appointed by Courts: Includes entities such as Official Liquidators, Court Appointed Receivers, etc., investing on behalf of Government or under directions of the Court. These entities must submit valid identity and address proof along with documentary evidence of such appointment.
- Transactions by UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India, subject to submission of valid documentation evidencing the nature of the entity and the purpose of investment.

*The AMC reserves the right to ascertain the status of such entities with adequate supporting documents. Applications not complying with the above requirement may not be accepted/processed.*

### Who cannot invest?

- Overseas Corporate Bodies, as defined under the Foreign Exchange Management Act, 1999.
- Investor residing in any Financial Action Task Force (FATF) designated High Risk jurisdiction.
- A person who falls within the definition of the term "U.S. Person" under 'Regulation S' promulgated under the Securities Act of 1933 of the United States, as amended, and corporations or other entities organised under the laws of the U.S. are not eligible to invest in the

schemes and apply, except the following:

- FPIs may invest in the Scheme as lump sum subscription and/or switch transaction through submission of physical form in India, subject to compliance with all applicable laws and regulations and the terms, conditions, and documentation requirements stipulated by the AMC/Trustee from time to time and subject to compliance with all applicable laws and regulations.

*The AMC / Trustee reserves the right to include / exclude new / existing categories of investors who can invest in the Scheme from time to time, subject to SEBI Regulations and other prevailing statutory regulations, as applicable.*

### Bank Details

#### Bank Account Details (For redemption/ IDCW if any):

- Investors must provide the details of the pay-out bank account held in India (i.e. account into which redemption proceeds are to be paid), including the 11-digit Indian Financial System Code (IFSC), in the application form. The same is mandated to be provided under SEBI Regulations.
- The investor is required to submit any one of the following as documentary proof along with the application form validating that pay-out bank account pertain to the investor.
  - Cancelled original cheque leaf of the pay-out bank account (where the account number and investor name are printed on the face of the cheque). Investors should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse;
  - Self-attested copy of the bank passbook or a statement of bank account with current entries not older than 3 months having the name and address of the investor and account number;
  - A letter from the bank on its letterhead certifying that the investor maintains an account with the bank, the bank account information like bank account number, bank branch, account type, & IFSC.

*Note: The above documents shall be submitted in Original. If copies are furnished, the same must be submitted at any Official Points of Acceptance (OPA) of JioBlackRock Mutual Fund (the "Fund").*

- Documents submitted will be verified with the original documents to the satisfaction of the Fund. The original documents will be returned across the counter to the investor after due verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his / her full signature, name, employee code, bank seal and contact number.
- Once the bank account is registered in the folio, it can be used for both pay-out and pay-in purposes.
- Investors are requested to note that applications for new folio creation submitted without any of the above-mentioned documents relating to pay-out bank account details will be treated as invalid and liable to be rejected.

### Investor Communication

- Investors should ensure that the email address and mobile number is provided.
- The email address and mobile number provided shall be registered in the folio for all official communications from the AMC / RTA.
- Where the email id, mobile number are not provided or were provided but the same is found to be invalid or seems to be not pertaining to the investor or is of an advisor or any other agency, then AMC/ RTA reserves the right to remove the email id, mobile number without any notice. Alternatively, the AMC reserves the right to update email id, mobile number from KYC records of SEBI designated KYC Registration Authority (KRA).
- Submission of an email address and mobile number shall be treated as the investor's consent to receive allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode. These documents shall be sent physically in case the Unit holder opts/request for the same.
- Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly

intimate the Fund about the same to enable the Fund to make the delivery through alternate means.

*It is deemed that the investor is aware of all security risks including possible third-party interception of the documents and contents of the documents becoming known to third parties. Subject to Regulations, The AMC / Trustee reserves the right to send any communication in physical mode.*

#### Power of Attorney (POA)

- In case of application under POA by a Non-Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for / authorize the POA holder / authorized signatory to make application/ invest on behalf of the investor.
- The application form must be accompanied by the original or a certified true copy/duly notarized copy of Power of Attorney.

#### Signatures

- Signature(s) should be in English or in any Indian Language specified in the eighth schedule of the constitution of India.
- The Authorized officials of company or other non-individual entities should sign the form under their official designation and affix the seal of the entity. The signatures should match with the authorised signatory list (ASL).
- In case of an application through a constituted Attorney, the Power of Attorney should be signed by the investor and the constituted Attorney. The Application Form should be signed in such cases by such constituted Attorney.

#### Prevention of Money Laundering

- SEBI vide its Master circular on Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under dated June 6, 2024, as amended from time to time, has mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework on anti-money laundering measures and also to adopt a Know Your Customer (KYC) policy.
- The Investor(s) should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.
- To ensure appropriate identification of the Investor(s) and with a view to monitor transactions for the prevention of money laundering, the AMC/ Fund reserves the right to seek information, record investor's telephonic calls and / or obtain and retain documentation for establishing the identity of the Investor(s), their beneficial ownership, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.
- The Fund, AMC, Trustee and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application / allotment of Units or mandatory redemption of Units due to non-compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the PMLA and SEBI/AMFI circular(s) and reporting the same to FIU-IND.
- For further details, please refer Section 'Prevention of Money Laundering' under the Statement of Additional Information available on our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com).

#### Know Your Customer (KYC) Compliance

##### i. KYC Registration Agency (KRA):

###### Units held in account statement (non-demat) mode

- KYC (Know Your Customer) norms are mandatory for ALL holders for making investments in Mutual Funds, irrespective of the amount of investment. In case of non-individuals, each Ultimate Beneficial Owner (UBO) has to be KYC compliant.

- SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. New investors are therefore requested to use the Common KYC application form and carry out the KYC process including In Person Verification (IPV) with any SEBI registered intermediaries. The KYC Application Forms are also available on our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com).

#### Units held in demat mode

- For units held in demat mode, the KYC performed by the Depository Participant of the investors will be considered as KYC verification done by the Trustee / AMC. In the event of non-compliance of KYC requirements, the Trustee/AMC reserves the right to reject the application and refund the subscription amount / effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any and freeze the folio of the investor(s).
- For further details, please refer Section 'Know Your Customer (KYC) Compliance' under Statement of Additional Information available on our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com)

##### ii. Central KYC Records Registry (CKYCR):

- Investors, who have already completed CKYC process & have obtained KIN can quote their 14-digit unique KYC identifier (KIN) in the application form. For more details about CKYCR, please refer to SAI.

#### Details under Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS)

- The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all investors. In relevant cases, information will have to be reported to tax authorities / appointed agencies.
- Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto.
- Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.
- Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
- If you have any questions about your tax residency, please contact your tax advisor.
- Further, if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number (TIN) or any other relevant reference ID/ Number. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

#### Ultimate Beneficial Owners(s) (UBO)

- Pursuant to SEBI Master Circular dated June 6, 2024, on 'Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under', as amended from time to time, investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))'. For complete details on UBO, please refer SAI available at [www.jioblackrockamc.com](http://www.jioblackrockamc.com).
- Non-individual investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'.
- All the UBOs should be KYC compliant.
- Please visit our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com) to download the UBO Form.