



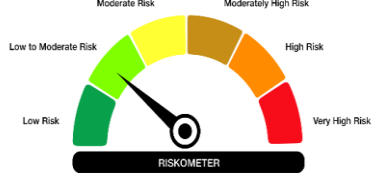

**BlackRock**



## KEY INFORMATION MEMORANDUM

### JioBlackRock Liquid Fund

*(An open ended Liquid Scheme. A relatively low interest rate risk and relatively low credit risk)*

| This product is suitable for investors who are seeking*  | Risk-o-meter of the Scheme   | Risk-o-meter of the Benchmark: NIFTY Liquid Index A-I (As per AMFI Tier I Benchmark)   |
|--|--|--|
| <ul style="list-style-type: none"> <li>Regular income over short term investment horizon</li> <li>To generate income by investing in money market and debt instruments with maturity upto 91 days</li> </ul> |  <p>The risk of the scheme is Low to Moderate</p> |  <p>The risk of the benchmark is Low to Moderate</p> |
| *Investors should consult their financial advisers if in doubt about whether the product is suitable for them.   |  |  |

The above product labelling assigned during the New Fund Offer (NFO) is based on an internal assessment of the Scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

| Potential Risk Class Matrix    |                             |                       |                              |
|--------------------------------|-----------------------------|-----------------------|------------------------------|
| Credit Risk →                  | Relatively Low<br>(Class A) | Moderate<br>(Class B) | Relatively High<br>(Class C) |
| Interest Rate Risk ↓           |                             |                       |                              |
| Relatively Low<br>(Class I)    | A-I                         |                       |                              |
| Moderate<br>(Class II)         |                             |                       |                              |
| Relatively High<br>(Class III) |                             |                       |                              |

### Offer for Units of Rs. 1,000 Per Unit during the New fund Offer period and at NAV-based prices upon re-opening

|  |   |  |
|--|---|--|
| New Fund Offer Opens on                                  | : | June 30, 2025                            |
| New Fund Offer Closes on                                 | : | July 02, 2025                            |
| Scheme Re-opens on for continuous sale and repurchase on | : | within 5 business days of allotment date |

Name of Mutual Fund : Jio BlackRock Mutual Fund (referred as 'JioBlackRock Mutual Fund')

Name of Asset Management Company : Jio BlackRock Asset Management Private Limited (referred as JioBlackRock AMC)

Name of Trustee Company : Jio BlackRock Trustee Private Limited (referred as 'JioBlackRock Trustee')

Addresses, Website of the entities : Unit No. 1301, 13<sup>th</sup> Floor, Altimus Building, Plot No. 130, Worli Estate, Pandurang Budhkar Marg, Worli, Mumbai – 400018, Maharashtra, India.

Website: [www.jioblackrockamc.com](http://www.jioblackrockamc.com)

This Key Information Memorandum (KIM) sets forth the information which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.jioblackrockamc.com](http://www.jioblackrockamc.com).**

**The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.**

This Key Information Memorandum is dated June 23, 2025.

| <b>Investment objective</b>   | <p>The investment objective of the Scheme is to generate regular income through investment in a portfolio comprising of money market and debt instruments with residual maturity up to 91 days.</p> <p>There is no assurance that the investment objective of the Scheme will be achieved.</p>   |         |             |  |  |         |         |   |    |      |
|---|--|---------|-------------|--|--|---------|---------|---|----|------|
| <b>Scheme code</b>  | JBMF/O/D /LIF/25/06/0001   |         |             |  |  |         |         |   |    |      |
| <b>Asset Allocation Pattern of the scheme</b>                                     | <p>The Asset Allocation Pattern of the Scheme under normal circumstances would be as under:</p> <table border="1"> <thead> <tr> <th rowspan="2">Instruments</th><th colspan="2">Indicative allocations (% of total assets)</th></tr> <tr> <th>Minimum</th><th>Maximum</th></tr> </thead> <tbody> <tr> <td>Debt instruments and Money Market instruments with residual maturity upto 91 days</td><td>0%</td><td>100%</td></tr> </tbody> </table> <ul style="list-style-type: none"> <li>• The Scheme retains the flexibility to invest across all the securities in the Debt and Money Market Instruments.</li> <li>• In line with Para 4.5 of SEBI Master Circular for Mutual Funds dated June 27, 2024, Securities in which investment is made for the purpose of ensuring liquidity (debt and money market instruments) are those that fall within the definition of liquid assets which includes Cash, Government Securities, T-bills and Repo on Government Securities.</li> <li>• Investment in Debt Derivatives shall be upto 50% of net assets of the Scheme.</li> <li>• The investment in repo in corporate debt securities (including listed AA and above rated corporate debt securities and Commercial Papers (CPs) and Certificate of Deposits (CDs)) with maturity upto 91 days shall be upto 10% of the net assets of the scheme.</li> <li>• The portfolio of the Scheme will comply with the additional investment restrictions in accordance with SEBI Master Circular for Mutual Funds dated June 27, 2024. <ul style="list-style-type: none"> <li>(i) The Scheme shall make investment in/purchase debt and money market securities with residual maturity of upto 91 days only.</li> <li>(ii) In case of securities with put and call options (daily or otherwise) the residual maturity shall not be greater than 91 days.</li> <li>(iii) In case of securities where the principal is to be repaid in a single payout, the maturity of the securities shall mean residual maturity. In case the principal is to be repaid in more than one payout then the maturity of the securities shall be calculated on the basis of weighted average maturity of the security.</li> <li>(iv) In case the maturity of the security falls on a non-business day, then settlement of securities will take place on the next business day.</li> </ul> </li> <li>• The Scheme shall engage in securities lending subject to a maximum of 20% in aggregate, of the net assets of the Scheme and 5% of the net assets of the scheme in the case of a single intermediary.</li> <li>• As per SEBI Master Circular for Mutual Funds dated June 27, 2024, the exposure in Credit Default Swaps should not exceed 10% of AUM of the scheme and shall be within the overall limit of derivatives exposure.</li> <li>• The Scheme may invest in other scheme(s) under the same AMC or any other mutual fund without charging any fees, provided that aggregate inter-scheme investment made by all Schemes under the same AMC or in Schemes under the management of</li> </ul> |         | Instruments | Indicative allocations (% of total assets) |  | Minimum | Maximum | Debt instruments and Money Market instruments with residual maturity upto 91 days | 0% | 100% |
| Instruments   | Indicative allocations (% of total assets)   |         |             |  |  |         |         |   |    |      |
|   | Minimum  | Maximum |             |  |  |         |         |   |    |      |
| Debt instruments and Money Market instruments with residual maturity upto 91 days | 0%   | 100%    |             |  |  |         |         |   |    |      |

|  |  |
|--|--|
|  | <p>any other asset management shall not exceed 5% of the net asset value of the Mutual Fund. Further, the Scheme shall not invest in any fund of funds scheme.</p> <ul style="list-style-type: none"> <li>• As per SEBI Master Circular for Mutual Funds dated June 27, 2024, the exposure in Securitized Debt should not exceed 20% of AUM of the scheme.</li> <li>• The cumulative gross exposure through debt, money market instruments, repo in corporate debt securities, credit default swaps, derivative positions, securitized debt and such other securities/assets as may be permitted by SEBI from time to time subject to regulatory approvals, if any should not exceed 100% of the net assets of the Scheme as per Clause 12.24 of SEBI Master Circular for Mutual Funds dated June 27, 2024.</li> <li>• The Scheme shall not invest in debt securities having structured obligations (SO rating) and/ or credit enhancements (CE rating). However, debt securities with government guarantee shall be excluded from such restrictions.</li> <li>• Pursuant to para 12.25.3 of SEBI Master Circular for Mutual Funds dated June 27, 2024, cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. Cash Equivalent shall consist of the following securities having residual maturity of less than 91 days: a) Government Securities; b) T-Bills; and c) Repo on Government securities.</li> <li>• As per the provisions of SEBI Master Circular for Mutual Funds dated June 27, 2024, the Scheme will invest 25 bps of Assets Under Management (AUM) in the units of Corporate Debt Market Development Fund (CDMDF). Subsequently, as per Clause 2.10 of SEBI Master Circular for Mutual Funds dated June 27, 2024, while calculating the asset allocation limits of mutual fund schemes, the investment in units of CDMDF shall be excluded from base of net asset.</li> <li>• Pursuant to SEBI Master Circular for Mutual Funds dated June 27, 2024 read with AMFI Best Practices Guidelines circular ref. no. 135/BP/93/2021-22 dated July 24, 2021, the Scheme shall hold- <ul style="list-style-type: none"> <li>(i) at least 20% of its net assets in liquid assets; OR</li> <li>(ii) liquid assets basis Liquidity Ratio based on 30 - day Redemption at Risk (i.e. LR – RaR), whichever is higher.</li> </ul> <p>For this purpose, “liquid assets” shall include Cash, Government Securities, T-bills and Repo on Government Securities. For ensuring liquidity, the Scheme will undertake the investment in liquid assets as per SEBI (Mutual Funds) Regulations, 1996.</p> <p>In addition to the above, the Scheme shall also maintain the liquidity ratio based on 30-day Conditional Redemption at Risk (LR-CRaR) in ‘eligible assets’ for LR-CRaR, in accordance with the guidelines / computation methodology (including definition of eligible assets for this purpose), as provided in the AMFI Best Practices Guidelines circular dated July 24, 2021.</p> <p>It shall be ensured that the liquid assets / eligible assets are maintained to the extent of the LR-RaR and LR-CRaR ratios. In case, the exposure in such liquid assets / eligible assets falls below the prescribed threshold levels of net assets of the Scheme, the AMC shall ensure that the LR-RaR and LR-CRaR ratios are restored to 100% of the required level(s) by ensuring that the net inflows (through net subscription / accruals / maturity &amp; sale proceeds) into the Scheme are used for restoring the ratios before making any new purchases outside ‘Liquid Assets / Eligible Assets’ as specified in the above referred circular(s).</p> </li> <li>• In line with SEBI circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2025/23 dated February 27, 2025; deployment of the funds garnered in an NFO shall be made within 30 business days from the date of allotment of units. In an exceptional case, if the AMC is not able to deploy the funds in 30 business days, reasons in writing, including</li> </ul> |
|--|--|

details of efforts taken to deploy the funds, shall be placed before the Investment Committee. The Investment Committee, after examining the root cause for delay, may extend the timeline by 30 business days. In case the funds are not deployed as per the asset allocation mentioned above and as per the aforesaid mandated plus extended timelines, the AMC shall comply with the provisions mentioned in SEBI circular no. SEBI/HO/IMD/ IMD-PoD-1/P/CIR/2025/23 dated February 27, 2025.

- Pursuant to SEBI Master Circular for Mutual Funds dated June 27, 2024, on Risk management framework for liquid funds, the Scheme shall not park its funds which are pending for deployment in short term deposits of scheduled commercial banks.
- The Scheme may undertake (i) repo/reverse repo transactions in Corporate Debt Securities; (ii) Credit Default Swaps, and such other transactions in accordance with guidelines issued by SEBI from time to time. In addition to the instruments stated above, the Scheme may enter into repos/reverse repos as may be permitted by RBI. From time to time, the Scheme may hold cash. A part of the net assets may be invested in the Tri-party Repos on Government securities or treasury bills (TREPS) or repo or in an alternative investment as may be provided by RBI to meet the liquidity requirements, subject to approval, if any.

**Indicative Table** (Actual instruments / percentages may vary subject to applicable SEBI circulars)

| Sl. No. | Type of Instrument   | Percentage of exposure   | Circular references  |
|---------|--|--|--|
| 1       | Securities lending   | a) Upto 20% of the net assets<br>b) Upto 5% of the net assets at single intermediary i.e. broker level | Clause 12.11 of SEBI Master Circular for Mutual Funds dated June 27, 2024              |
| 2       | Debt Derivatives (Hedging and Non-hedging)   | Upto 50% of the net assets of the Scheme   | Clause 7.5, 7.6 and 12.25 of SEBI Master Circular for Mutual Funds dated June 27, 2024 |
| 3       | Liquid Assets (Cash, Government Securities, T-bills and Repo on Government Securities) | Atleast 20% of the net assets of the Scheme  | Clause 4.5.1 of SEBI Master Circular for Mutual Funds dated June 27, 2024              |
| 4       | Credit Default Swaps   | Upto 10% of net assets of the Scheme   | Clause 12.28 of SEBI Master Circular for Mutual Funds dated June 27, 2024              |
| 5       | Repo/ Reverse Repo in corporate debt securities  | Upto 10% of the net assets of the Scheme   | Clause 12.18.1.1 of SEBI Master Circular for Mutual Funds dated June 27, 2024          |
| 6       | Mutual Funds Units   | The Scheme may invest in units of schemes of JioBlackRock Mutual                                       | Clause 4 of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996.                 |

|   |   |  |   |
|---|---|--|---|
|   |   | Fund and/or any other mutual fund subject to the overall limit of upto 5% of the net asset value of the mutual fund. |   |
| 7 | Units of CDMDF                            | 0.25% of the net assets of the Scheme  | Clause 16A.2.4.2 of SEBI Master Circular for Mutual Funds dated June 27, 2024 |
| 8 | Interest Rate Futures (imperfect hedging) | Upto 20% of the net assets of the Scheme   | Clause 12.25.9 of SEBI Master Circular for Mutual Funds dated June 27, 2024   |
| 9 | Securitized debt                          | Upto 20% of the net assets of the Scheme   | Clause 12.15 of SEBI Master Circular for Mutual Funds dated June 27, 2024     |

The Scheme will not invest / engage into the following instruments:

| Sl. No. | Type of Instrument   |
|---------|--|
| 1       | Overseas securities  |
| 2       | ReITS and InvITS   |
| 3       | Debt instruments with special features (AT1 and AT2 bonds)                                       |
| 4       | Short Term Deposits of Scheduled Commercial Banks  |
| 5       | Short Selling of securities  |
| 6       | Equity & Equity Related Instruments and Equity Derivatives                                       |
| 7       | Debt securities having structured obligations (SO rating) and/or credit enhancements (CE rating) |

**Short Term Defensive Considerations:**

Subject to SEBI (MF) Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute and that they can vary substantially depending upon the perception of the Fund Manager, the intention being at all times to seek to protect the interests of investors. As per clause 1.14.1.2.b of SEBI Master Circular for Mutual Funds dated June 27, 2024, as may be amended from time to time, such changes in the investment pattern will be for short term and for defensive consideration only.

In the event of change in the asset allocation, the fund manager will carry out portfolio rebalancing within 30 calendar days from the date of such deviation or such other timeline as may be prescribed by SEBI from time to time.

**Portfolio Rebalancing:**

In the event of any deviations from the mandated asset allocation as mentioned above due to passive breaches, portfolio rebalancing will be carried out by the AMC/fund manager within 30 Business Days of the date of the said deviation. This rebalancing will be subject to prevailing market conditions and in the interest of the investors. In case the portfolio of the Scheme is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee of the AMC. The Investment Committee, if it so desires, can extend

|                            |   |
|----------------------------|---|
|                            | <p>the timeline for rebalancing up to 60 Business Days from the date of completion of mandated rebalancing period. Further, in case the portfolio is not rebalanced within the aforementioned mandated plus extended timelines the AMC shall comply with the prescribed restrictions, the reporting and disclosure requirements as specified in para 2.9 of SEBI Master Circular on Mutual Funds dated June 27, 2024.</p>   |
| <b>Investment Strategy</b> | <p>An open-ended, actively managed debt scheme investing in debt and money market instruments with residual maturity up to 91 days. The investment strategy would be towards generating regular returns through a portfolio of Debt and Money Market instruments seeking to capture the term and credit spreads. The Scheme shall endeavor to develop a well-diversified portfolio of debt and money market instruments. Investments made from the net assets of the Scheme would be in accordance with the investment objective of the Scheme and the provisions of the SEBI (MF) Regulations. The AMC will strive to achieve the investment objective by way of a judicious portfolio mix comprising of Debt and Money Market Instruments. Every investment opportunity in Debt and Money Market Instruments would be assessed with regard to credit risk, interest rate risk, liquidity risk, derivatives risk and concentration risk.</p> <p>The Scheme may invest in securitized debt. The Scheme may also undertake repo transactions in corporate debt securities, investments in credit default swaps, mutual fund units, units of CDMF, in accordance with the directions issued by RBI and SEBI from time to time.</p> <p><b>Derivatives Strategy:</b><br/>The Scheme may take exposure to debt derivatives like Interest Rate Swaps, Interest Rate Futures, Forward Rate Agreements or other derivative instruments for the purpose of hedging / non-hedging, portfolio rebalancing and other purposes, as permitted by regulations from time to time. Such exposure to derivative instruments will be in line with the investment objective and overall strategy of the scheme. Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.</p> <p>The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments. For detailed derivative strategies, please refer to SAI.</p> <p><b>Portfolio Turnover:</b><br/>Portfolio Turnover is a term used to measure the volume of trading that occurs in a Scheme's portfolio during a given time period. The Scheme has no specific target relating to turnover of securities, given the low liquidity in the debt market. However, the turnover is guided by sale and purchase of securities arising out of the purchase and redemption of Units. Trading opportunities may arise due to changes in system liquidity, interest rate policy announced by RBI, shifts in the yield curve, change or anticipation of change in the credit worthiness or credit rating of securities or any other factors, which may lead to increase in the turnover. The fund manager will endeavour to optimize portfolio turnover to maximize gains and minimize risks keeping in mind the cost associated with it.</p> <p><b>Risk Control:</b><br/>Investments made from the net assets of the Scheme would be in accordance with the investment objective of the Scheme and the provisions of the SEBI (MF) Regulations. The AMC will strive to achieve the investment objective by way of a judicious portfolio mix</p> |

|  |  |
|--|--|
|  | comprising of Debt and Money Market Instruments with residual maturity upto 91 days. Every investment opportunity in Debt and Money Market Instruments would be assessed with regard to credit risk, interest rate risk, liquidity risk, derivatives risk and concentration risk.  |
| <b>Risk Profile of the Scheme</b>  | <p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.</p> <p>Scheme specific risk factors are summarized below:<br/> The Scheme will be investing in debt instruments (including Securitized debt) and money market instruments. The Scheme is also subjected to risk factors associated with securities lending, Tri-Party Repo, Securitized Debt, Repo in Corporate Debt, derivatives, Credit Default Swap, Interest Rate Futures, Gilt Securities, Mutual Fund Schemes, Corporate Debt Market Development Fund and segregated portfolio as detailed in the SID.</p> <p>For details on risk factors and risk mitigation measures, please refer SID.</p>   |
| <b>Plans / Options</b>   | <p>The Scheme shall offer only Direct Plan.</p> <p>Further, the Plan shall offer only Growth Option.</p> <p>The AMC may introduce further Plan/s and Option/s in future, subject to regulations.</p>   |
| <b>Applicable NAV (after the scheme opens for subscriptions and redemptions)</b> | <p><b>Subscriptions / Purchases including Switch - ins:</b></p> <ul style="list-style-type: none"> <li>• where the application is received upto 1.30 p.m. on a day and funds are available for utilization before the cut-off time without availing any credit facility, whether intra-day or otherwise – the closing NAV of the day immediately preceding the day of receipt of application;</li> <li>• where the application is received after 1.30 p.m. on a day and funds are available for utilization on the same day without availing any credit facility, whether intra-day or otherwise – the closing NAV of the day immediately preceding the next business day; and</li> <li>• irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time without availing any credit facility, whether intra-day or otherwise – the closing NAV of the day immediately preceding the day on which the funds are available for utilization.</li> </ul> <p>For allotment of units in respect of purchase in to the Scheme, it shall be ensured that:</p> <ul style="list-style-type: none"> <li>• Application is received before the applicable cut-off time.</li> <li>• Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme/Mutual Fund before the cut-off time.</li> <li>• The funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the Scheme/Mutual Fund.</li> </ul> <p>For allotment of units in respect of switch-in to the Scheme from other schemes, it shall be ensured that:</p> <ul style="list-style-type: none"> <li>• Application for switch-in is received before the applicable cut-off time.</li> <li>• Funds for the entire amount of subscription/purchase as per the switch-in request are credited to the bank account of the Scheme before the cut-off time.</li> </ul> |



|   |  |   |   |
|---|--|---|---|
|   | <ul style="list-style-type: none"> <li>• The funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the respective switch-in schemes.</li> <li>• The aforesaid provisions shall also be applicable to systematic transactions like Systematic Investment Plan, Systematic Transfer Plan, etc. offered by scheme.</li> </ul> <p><b>Redemptions including Switch - outs:</b></p> <ul style="list-style-type: none"> <li>• In respect of valid applications received upto 3.00 p.m. – the closing NAV of the day immediately preceding the next Business Day; and</li> <li>• In respect of valid applications received after 3 p.m. by the Mutual Fund, the closing NAV of the next Business Day shall be applicable.</li> </ul> |   |   |
| <b>Minimum Application Amount / Number of Units</b> | <b>Purchase</b><br><br>Rs. 500 and any amount thereafter   | <b>Additional Purchase</b><br><br>Rs. 500 and any amount thereafter | <b>Redemption</b><br><br>Any amount / any number of units |
| <b>Dispatch of Redemption Request</b>               | The redemption or repurchase proceeds shall be dispatched to the investors within 3 (three) business days from the date of redemption or repurchase. In case of delay beyond 3 (three) business days, the AMC is liable to pay interest to the investors at the rate of 15% per annum. However, in case of exceptional circumstances mentioned in para 14.1.3 of SEBI Master Circular for mutual funds dated June 27, 2024, redemption or repurchase proceeds will be transferred to investors within the timeframe prescribed for such exceptional circumstances.   |   |   |
| <b>Benchmark Index</b>                              | Nifty Liquid Index A-I   |   |   |
| <b>Dividend Policy</b>                              | <p>The Scheme is currently not offering IDCW option.</p> <p>However, the said option may be introduced at later date.</p>  |   |   |
| <b>Name of the Fund Manager</b>                     | <p>Mr. Arun Ramachandran</p> <p>Mr. Vikrant Mehta</p> <p>Mr. Siddharth Deb</p>   |   |   |
| <b>Name of the Trustee Company</b>                  | Jio BlackRock Trustee Private Limited  |   |   |
| <b>Performance of the Scheme</b>                    | Since this is a new Scheme, it does not have any performance track record.   |   |   |
| <b>Additional Scheme Related Disclosures</b>        | <p>(i) Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors): Not applicable as this is a new Scheme.</p> <p>(ii) Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme: Not Applicable as this not an index fund / ETF</p> <p>(iii) Portfolio Turnover Rate: Not Applicable</p>   |   |   |

Expenses of the Scheme

Load Structure

New Fund Offer Period:

Continuous Offer:

These are the expenses incurred for the purpose of new fund offer of the scheme including marketing, advertising, communication, registrar expenses, statutory expenses, printing expenses, stationery expenses, bank charges, exchange related charges, service provider related charges etc. As required in SEBI Regulations, all NFO expenses will be borne only by the AMC and not by the Scheme. Accordingly, the NFO expenses would be incurred from AMC books and not from Scheme books.

Exit Load is an amount which is paid by the investor to redeem the units from the Scheme. Load amounts are variable and are subject to change from time to time. For the current applicable structure, please refer to the website of the AMC at [www.jioblackrockamc.com](http://www.jioblackrockamc.com) or call the Contact Center no.- +91 22-35207700 & +91 22-69987700 during business hours.

| Type of Load   | Load chargeable (as %age of NAV)   |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |
|--|--|--|--|---|-------|---------|-------|---------|-------|---------|-------|---------|-------|---------|-------|---------|---------------|---------|
| Entry  | Not Applicable   |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |
| Exit   | <table><tr><th>Day at which investor exits from the scheme from date of allotment</th><th>Exit Load as a % of redemption proceeds</th></tr><tr><td>Day 1</td><td>0.0070%</td></tr><tr><td>Day 2</td><td>0.0065%</td></tr><tr><td>Day 3</td><td>0.0060%</td></tr><tr><td>Day 4</td><td>0.0055%</td></tr><tr><td>Day 5</td><td>0.0050%</td></tr><tr><td>Day 6</td><td>0.0045%</td></tr><tr><td>Day 7 onwards</td><td>0.0000%</td></tr></table> <div>For the purpose of levying exit load, the date of allotment of units shall be considered as Day 1.</div> <div>In respect of Systematic Transactions such as SIP, STPs etc., if any, prevailing on the date of installment shall be levied.</div> |  | Day at which investor exits from the scheme from date of allotment | Exit Load as a % of redemption proceeds | Day 1 | 0.0070% | Day 2 | 0.0065% | Day 3 | 0.0060% | Day 4 | 0.0055% | Day 5 | 0.0050% | Day 6 | 0.0045% | Day 7 onwards | 0.0000% |
| Day at which investor exits from the scheme from date of allotment | Exit Load as a % of redemption proceeds  |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |
| Day 1  | 0.0070%  |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |
| Day 2  | 0.0065%  |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |
| Day 3  | 0.0060%  |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |
| Day 4  | 0.0055%  |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |
| Day 5  | 0.0050%  |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |
| Day 6  | 0.0045%  |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |
| Day 7 onwards  | 0.0000%  |  |  |   |       |         |       |         |       |         |       |         |       |         |       |         |               |         |

Subject to the Regulations, the AMC reserves the right to modify/alter the load structure on the Units subscribed / redeemed on any business day. At the time of changing the load structure, the AMC / Mutual Fund may adopt the following procedure:

i.

ii.

iii.

iv.

The addendum detailing the changes will be attached to Scheme Information Documents and Key Information Memorandum.

Arrangements will be made to display the addendum in the Scheme Information Document in the form of a notice in all the investor service centres.

The introduction of the exit load along with the details will be stamped in the acknowledgement slip issued to the investors on submission of the application form and will also be disclosed in the statement of accounts issued after the introduction of such load.

A public notice shall be provided on the website of the AMC in respect of such changes.

|   |   |                    |                     |                      |                      |   |                          |
|---|---|--------------------|---------------------|----------------------|----------------------|---|--------------------------|
| Recurring Expenses                            | The AMC reserves the right to modify the Exit Load / Fee mentioned above at any time in future on a prospective basis, subject to the limits prescribed under the SEBI Regulations.   |                    |                     |                      |                      |   |                          |
|   | As per the Regulations, the maximum recurring expenses that can be charged to debt Schemes shall be subject to a percentage limit of daily net assets as in the table below:  |                    |                     |                      |                      |   |                          |
|   | First INR 500 Crore   | Next INR 250 Crore | Next INR 1250 Crore | Next INR 3000 Crores | Next INR 5000 Crores | Next INR 40000 Crores   | On balance of the assets |
|   | 2.00%   | 1.75%              | 1.50%               | 1.35%                | 1.25%                | Total expense ratio reduction of 0.05% for every increase of INR 5,000 crores of daily net assets or part thereof | 0.80%                    |
| Tax treatment for the Investors (Unitholders) | The Mutual Fund would update the current expense ratios on the website – www.jioblackrockamc.com, at least three working days prior to the effective date of the change and update the TER under the Section titled “Statutory Disclosures” under sub-section titled “Total Expense Ratio of Mutual Fund Schemes”.  |                    |                     |                      |                      |   |                          |
|   | Actual expenses for the previous financial year: Not applicable in case of a new Scheme.  |                    |                     |                      |                      |   |                          |
|   | The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 52 of the SEBI (MF) Regulation, 1996. Investors are requested to read “Section - Annual Scheme Recurring Expenses” in the SID.   |                    |                     |                      |                      |   |                          |
| Daily Net Asset Value (NAV) Publication       | The AMC shall update the NAVs on website of the Association of Mutual Funds in India - AMFI (www.amfiindia.com) and on the website of AMC (www.jioblackrockamc.com/disclosure) before 11.00 p.m. on every business day.<br><br>For further details, please refer to the SID.  |                    |                     |                      |                      |   |                          |
| For Investor Grievances please contact        | Mr. Manish Kanchan – Investor Relations Officer<br><br>JioBlackRock AMC, Unit no:1301, 13th Floor, Altimus building, Plot no.130, Worli Estate, Pandurang Budhkar Marg, Worli, Mumbai – 400018, Maharashtra, India<br><br>Registrar: Computer Age Management Services Limited, Rayala Towers, 158, Anna Salai, Chennai – 600 002.<br><br>For any grievances with respect to transactions through NSE/BSE, the investor should approach the investor grievance cell of the respective stock exchange.<br><br>MFU Customer Care: For transactions related to MFU, Investors may contact the customer care of MFU on 1800-266-1415 (business hours on all days except Sunday and Public Holidays) or send an email to clientservices@mfiindia.com. |                    |                     |                      |                      |   |                          |
| Unitholders' Information                      | The AMC shall send an allotment confirmation specifying the units allotted by way of email and / or SMS to the investor's registered email ID and / or mobile number within 5   |                    |                     |                      |                      |   |                          |

business days of receipt of valid application / transaction and realization of funds towards purchase of units, whichever is later.

A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds and their holding at the end of the month shall be sent to the Investors in whose folio(s) transactions have taken place during the month by email on or before the 12<sup>th</sup> day of the succeeding month and by physical means on or before the 15<sup>th</sup> day of the succeeding month.

Half-yearly physical CAS shall be issued at the end of every six months (i.e. April and October) on or before 21<sup>st</sup> day of succeeding month. e-CAS will be issued on or before 18<sup>th</sup> day of succeeding month to all investors providing the prescribed details across all schemes of mutual funds and securities held in dematerialized form across demat accounts, if applicable.

The investor may request for a physical account statement without any charges by writing to/calling the AMC/ISC/RTA. The Mutual Fund/ AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the investor.

### **Scheme Portfolio**

Portfolio shall be disclosed (i) on a fortnightly basis (i.e. as on 15<sup>th</sup> and as on the last day of the month), within 5 days from end of the fortnight and (ii) as on the last day of the month and half-year i.e. March 31 and September 30 within 10 days from the close of each month and half-year respectively. Portfolio shall be disclosed on AMC website [www.jioblackrockamc.com](http://www.jioblackrockamc.com) and on AMFI website [www.amfiindia.com](http://www.amfiindia.com). Portfolio shall be disclosed in a user-friendly and downloadable spreadsheet format. Portfolio shall also be sent by e-mail to all investors by the AMC/Mutual Fund. The AMC/ Mutual Fund shall publish an advertisement disclosing uploading of half year scheme portfolio on its website, in one English daily newspaper and in one Hindi daily newspaper having nationwide circulation. Physical copy of the scheme portfolio shall be provided to investors on receipt of specific request from the investors, without charging any cost.

### **Half Yearly Financial Results**

The AMC / Mutual Fund shall within one month from the close of each half year, that is on March 31 and on September 30, host a soft copy of its unaudited financial results on the AMC website [www.jioblackrockamc.com/disclosure](http://www.jioblackrockamc.com/disclosure) and shall publish an advertisement disclosing the hosting of financial results on the AMC website, in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated. The unaudited financial results would be displayed on AMC website [www.jioblackrockamc.com/disclosure](http://www.jioblackrockamc.com/disclosure) and AMFI website [www.amfiindia.com](http://www.amfiindia.com).

### **Annual Report**

Scheme wise annual report or an abridged summary thereof shall be mailed to all Investors within four months from the date of closure of the relevant financial year i.e. 31<sup>st</sup> March each year as under:



- by email to the investor whose email address is available with the Mutual Fund.
- in physical form to the investor whose email address is not available with the Fund and / or to those investors who have opted / requested for the same.

An advertisement shall also be published in all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the scheme wise annual report on the website of the AMC [www.jioblackrockamc.com/disclosure](http://www.jioblackrockamc.com/disclosure) and AMFI website [www.amfiindia.com](http://www.amfiindia.com). The physical copy of the scheme wise annual report or

|  |   |
|--|---|
|  | <p>abridged summary shall be made available to the investors at the registered office of the AMC.</p> <p>The AMC / Mutual Fund shall also provide a physical copy of abridged summary of the annual report without charging any cost, on specific request received from the investor. A copy of scheme wise annual report shall also be made available to investor on payment of nominal fees.</p> <p>For further details, please refer to the SAI.</p> |
|--|---|

## NFO APPLICATION FORM

NFO OPENS: June 30, 2025 NFO CLOSES: July 02, 2025

|  |  |  |                                    |                                 |                                    |                                    |
|--|--|--|------------------------------------|---------------------------------|------------------------------------|------------------------------------|
| <b>This product is suitable for investors who are seeking*</b> <ul style="list-style-type: none"> <li>Regular income over short term investment horizon</li> <li>To generate income by investing in money market and debt instruments with maturity upto 91days</li> </ul> | <b>Risk-o-meter of the Scheme</b><br> | <b>Risk-o-meter of the Benchmark: Nifty Liquid Index A-I (As per AMFI Tier I Benchmark)</b><br> | <b>Potential Risk Class Matrix</b> |                                 |                                    |                                    |
|  |  |  | <b>Credit Risk →</b>               | <b>Relatively Low (Class A)</b> | <b>Moderate (Class B)</b>          | <b>Relatively High (Class C)</b>   |
|  |  |  | <b>Interest Rate Risk ↓</b>        | <b>Relatively Low (Class I)</b> | <b>Moderate (Class II)</b>         | <b>Relatively High (Class III)</b> |
|  |  |  | <b>Relatively Low (Class I)</b>    | <b>Moderate (Class II)</b>      | <b>Relatively High (Class III)</b> |                                    |

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

|                 |                 |                 |
|-----------------|-----------------|-----------------|
| RIA / PMRN CODE | RIA / PMRN NAME | TIME STAMP HERE |
|-----------------|-----------------|-----------------|

Folio No.

### 1. APPLICANT DETAILS (Name should be as per PAN / Income Tax Department)

1st Applicant Name

PAN / PEKRN  CKYC KIN No.  (If Available) DOB /DOI

Name of Guardian (if 1st Applicant is minor) / POA Holder / Name of Contact Person (in case of Non-Individual Investors)

PAN / PEKRN  CKYC KIN No.  (If Available) DOB

Relationship with Minor ☐ Natural guardian ☐ Court appointed guardian (Attach Relationship proof with Minor)

Mobile No.  Email ID

Mobile No. provided pertains to: Please tick (✓)

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: Please tick (✓)

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

### 2. STATUS OF 1st APPLICANT (Please tick ✓)

☐ Resident Individual ☐ Minor ☐ NRI-NRE ☐ Public Ltd. Co. ☐ Sole Proprietor ☐ Insurance Co. ☐ Govt. Body  
☐ Partnership Firm ☐ LLP ☐ NRI-NRO ☐ Mutual Fund ☐ Private Ltd. Co. ☐ AOP / BOI ☐ Banks  
☐ Provident Fund ☐ Supperannuation / Pension Fund ☐ Gratuity Fund ☐ Financial Institution ☐ FPI ☐ HUF  
☐ NPS Trust ☐ Defence Establishment ☐ FPI Category - I / II / III ☐ PIO ☐ Others

☐ Trust ☐ Society ☐ No ☐ Yes, NPO Reg. No.   
 Are you a Non-Profit Organization constituted and registered as a Trust or Society under Societies Registration Act, 1860 for religious or charitable purpose as referred to in Clause (15) of Section 2 of the Income Tax Act, 1961, or a company registered under Section 8 of the Companies Act. 2013.

### 3. CORRESPONDENCE ADDRESS OF 1st APPLICANT (As per KYC records) (NRI Investors should mention their overseas address)

City  State

Country  Pincode

Type of address given at KRA ☐ Residential ☐ Business ☐ Registered Office

11 Digit IFSC  Branch City

4. BANK ACCOUNT (PAY-OUT) DETAILS OF 1st APPLICANT

Bank Name  Please provide proof of Bank Account.

Account No.  Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others

11 Digit IFSC  Branch City

5. INVESTMENT AND PAYMENT DETAILS (Cheque should be drawn in favour of 'JioBlackRock Mutual Fund')

Scheme Name  Investment Amount (₹)

JioBlackRock Liquid Fund ₹

Payment Mode ☐ Cheque ☐ Fund Transfer ☐ NEFT / RTGS ☐ UPI ☐ OTM

Cheque / UTR No. / UMRN / UPI Ref.No.  Cheque / Payment Date

If the investment is made through a bank other than the payout bank, please attach the payment / bank proof and fill in the details below.

Bank Name  Please provide Bank Account details proof.

Account No.  Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others

11 Digit IFSC  Branch City

6. MODE OF OPERATION Please tick (✓) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

JOINT APPLICANT DETAILS (Name should be as per PAN / Income Tax Department)

2nd Applicant Name

PAN / PEKRN  CKYC KIN No.  (If Available) DOB

Mobile No.  Email ID

Mobile No. provided pertains to: Please tick (✓)  
☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings  
☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: Please tick (✓)  
☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents  
☐ Guardian ☐ PMS ☐ Custodian ☐ POA

3rd Applicant Name

PAN / PEKRN  CKYC KIN No.  (If Available) DOB

Mobile No.  Email ID

Mobile No. provided pertains to: Please tick (✓)  
☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings  
☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: Please tick (✓)  
☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents  
☐ Guardian ☐ PMS ☐ Custodian ☐ POA

7. OTHER INFORMATION Please tick (✓)

|  | 1st Applicant / Guardian   | 2nd Applicant  | 3rd Applicant  |
|--|--|--|--|
| Politically Exposed Person [PEP]         | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Related to PEP   | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Related to PEP   | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Related to PEP   |
| Occupation (Please ✓)                    | <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Student<br><input type="checkbox"/> Public Sector Service <input type="checkbox"/> Agriculturist<br><input type="checkbox"/> Government Service <input type="checkbox"/> Retired<br><input type="checkbox"/> Business <input type="checkbox"/> Housewife<br><input type="checkbox"/> Professional <input type="checkbox"/> Forex Dealer<br><input type="checkbox"/> Others <input type="text"/> | <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Student<br><input type="checkbox"/> Public Sector Service <input type="checkbox"/> Agriculturist<br><input type="checkbox"/> Government Service <input type="checkbox"/> Retired<br><input type="checkbox"/> Business <input type="checkbox"/> Housewife<br><input type="checkbox"/> Professional <input type="checkbox"/> Forex Dealer<br><input type="checkbox"/> Others <input type="text"/> | <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Student<br><input type="checkbox"/> Public Sector Service <input type="checkbox"/> Agriculturist<br><input type="checkbox"/> Government Service <input type="checkbox"/> Retired<br><input type="checkbox"/> Business <input type="checkbox"/> Housewife<br><input type="checkbox"/> Professional <input type="checkbox"/> Forex Dealer<br><input type="checkbox"/> Others <input type="text"/> |
| Gross Annual Income in ₹ (Please ✓)      | <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 10-25 Lacs<br><input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 25 Lacs - 1 Cr.<br><input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> > 1 Cr.  | <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 10-25 Lacs<br><input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 25 Lacs - 1 Cr.<br><input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> > 1 Cr.  | <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 10-25 Lacs<br><input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 25 Lacs - 1 Cr.<br><input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> > 1 Cr.  |
| Networth in ₹ (For Non-Individuals only) |  | Networth as of date (Not older than 1 year)  |  |

8. FATCA & CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor)  
Non-Individual investors should mandatorily fill separate FATCA & UBO form.

|  | 1st Applicant / Guardian                                 | 2nd Applicant  | 3rd Applicant  |
|--|--|--|--|
| Place / City of Birth                                      |  |  |  |
| Country of Birth   |  |  |  |
| Nationality  |  |  |  |
| Are you a tax resident in any other country outside India? | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |

If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries

|                          | Country of Tax Residency | Tax Identification Number or Functional Equivalent | Identification Type (TIN or other please specify) | If TIN is not available please tick (✓) the reason A, B or C (as defined below)          |
|--------------------------|--------------------------|--|---|--|
| 1st Applicant / Guardian |                          |  |   | Reason: <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C |
| 2nd Applicant            |                          |  |   | Reason: <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C |
| 3rd Applicant            |                          |  |   | Reason: <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C |

Reason A - The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.  
Reason B - No TIN required (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected)  
Reason C - Others, please state the reason thereof: \_\_\_\_\_

9. LEI No.  Expiry Date

Non-Individuals who transact / propose to transact for an amount of ₹ 50 Crores or more.

10. UNIT HOLDING OPTION: ☐ Physical Mode (Default) ☐ Demat Mode (Enclose Latest Client Master / Demat Account Statement)

Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL) Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the demat account.

|      |  |   |   |                         |                      |
|------|--|---|---|-------------------------|----------------------|
| NSDL | DP ID  | I | N | Beneficiary Account No. | <input type="text"/> |
| CDSL | Beneficiary Account No. <input type="text"/> |   |   |                         |                      |

11. NOMINATION DETAILS: To nominate more (up to 10 nominations) OR if the investor affixes thumb impression, instead of wet signature, please fill and attach a separate nomination form to this application form. Note: (\* Mandatory fields)

I / We hereby nominate the following person(s) who shall receive all the assets held in my folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s).

Name of Nominee\* (For one nomination)

Share of nominee  100% Relationship\*  DOB of nominee@

ID Type ☐ PAN ☐ Aadhaar No. ☐ Driving Licence ☐ Passport^ Identity No.\*#

Address\*

City  State

Country  Pincode

Mobile No.\*  Email ID\*

Guardian Name@

#Provide PAN or Driving Licence or last 4 digits of Aadhaar number. In case of NRI / OCI / PIO, ^Passport number is acceptable for NRIs only.  
@If Nominee is a minor - Date of Birth is required & Guardian name is optional.  
I / We want the details of my / our nominee to be printed in the statement of holding, provided to me / us by Jio BlackRock Asset Management Private Limited as follows; (Please tick (✓), as appropriate)

☐ Name of nominee(s) **OR** ☐ Nomination Opted "YES / NO" (If neither option or both options are selected, the default will be set to Nomination: Yes / No)

☐ **OPT-OUT declaration:** I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

On providing email-id investors shall receive the scheme-wise annual report or an abridged summary account statements / statutory and other documents by email. However, if the investors wish to receive physical copy of the scheme wise annual report or an abridged summary thereof (Please tick (✓) Opt-in ☐ )

Applicant is requested to sign in the designated field on the next page.



## 12. DECLARATION & SIGNATURE(S)

☐ I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stockbroker registered in the concerned folio, if applicable. Investors providing Email Id would mandatorily receive E - Statement of Accounts in lieu of physical Statement of Accounts and the annual report or abridged summary on email. Please register your Mobile No & Email Id with us to get instant transaction alerts via SMS & Email.

I / We have read, understood and agree to abide with the contents of Scheme Information Document (SID), Key Information Memorandum (KIM) of the above-mentioned Scheme and Statement of Additional Information (SAI) of JioBlackRock Mutual Fund and the addendums issued thereto till date ("Scheme Related Documents"). I/We confirm my / our eligibility to invest in the Scheme as per the Scheme Related Documents and confirm that I / We are not barred by any legal authority. I / We confirm that all information provided is true and complete and will promptly inform the AMC/Fund/RTA of any change and provide additional details, if required. I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC FOR THIS INVESTMENT. I/We accept full responsibility for any loss caused to AMC/Fund/Trustee/ Sponsors and their officers, directors and employees due to false or incomplete information provided by me/us and agree to indemnify against all related claims, losses or disputes regarding my/our transactions. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby provide consent for uploading/updating/fetching CKYC record from Central KYC Records Registry. I/We authorize sharing of my/our aforementioned information, including updates, with the MF, AMC, RTA, SEBI registered intermediaries, third party service providers and Indian or foreign authorities, subject to applicable laws, without prior notice to me / us. I/We acknowledge and accept the FATCA/CRS declarations and undertake to comply with applicable tax and regulatory obligations. I / We give my consent to the AMC / MF to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotional/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility.

I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year (Applicable for Micro investment only.) with your fund house.

I/We consent to the AMC/MF for collecting, storing, and using my/our personal information for processing this application, delivering subscribed services and fulfilling legal and regulatory obligations.

**APPLICABLE FOR NRIs/PIOs/FPIs/OCIs INVESTING ON REPATRIATION BASIS ONLY:** I/We confirm that I am/we are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account.

Sign below as per mode of holding

|  |                                 |                                 |            |
|--|---------------------------------|---------------------------------|------------|
| 1st Holder/Guardian/<br>Authorised Signatory | 2nd Holder/Authorised Signatory | 3rd Holder/Authorised Signatory | POA Holder |
|--|---------------------------------|---------------------------------|------------|

## CHECKLIST

Please ensure that your Application Form is complete in all respect and signed by all applicants. Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

| Sr. No. | Documents  | Individuals/<br>Sole Proprietary<br>Firms | HUF | Companies / Trusts/<br>Societies / Partnership<br>Firms / LLP | FPI ^ | NRI/<br>OCI/<br>PIO | Minor | Investments<br>through Constituted<br>Attorney |
|---------|--|---|-----|---|-------|---------------------|-------|--|
| 1       | Valid KYC status of Investor / Guardian  | ●   | ●   | ●   | ●     | ●                   | ●     | ●  |
| 2       | FATCA & CRS  | ●   | ●   | ●   | ●     | ●                   | ●     |  |
| 3       | Ultimate Beneficial Owner (UBO) with their valid KYC status                                |   | ●   | ●   |       |                     |       |  |
| 4       | List of Authorised Signatories with Specimen Signature(s) @                                |   |     | ●   | ●     |                     |       | ●  |
| 5       | Board / Committee Resolution / Authority Letter  |   |     | ●   | ●     |                     |       |  |
| 6       | NPO Declaration for Trust & Society  |   |     | ●   |       |                     |       |  |
| 7       | Certificate of registration granted by Designated Depository Participant on behalf of SEBI |   |     |   | ●     |                     |       |  |
| 8       | PIO / OCI Card (as applicable)   |   |     |   |       | ●                   |       |  |
| 9       | Date of Birth proof of Minor*  |   |     |   |       |                     | ●     |  |
| 10      | Relationship proof with Guardian   |   |     |   |       |                     | ●     |  |
| 11      | Notarised copy of POA  |   |     |   | ●     |                     |       | ●  |
| 12      | Nomination   | ●   |     |   |       | ●                   |       |  |
| 13      | Documentary proof evidencing the sole proprietary firm and proprietor                      | ●   |     |   |       |                     |       |  |

@Should be original or true copy certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

^ As per prevailing SEBI (FPI) Regulations, 2019, FPIs can invest in Indian Securities only through Stock Broker and in demat mode only.

\*Birth certificate, School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., Passport, or any other suitable proof evidencing the date of birth of the minor.

### Additional documents required from FPI :

- 1) Certified true copy of BR and ASL of Custodian
- 2) Certificate form Bank for fund transfer from Special Non Resident Rupee Account.
- 3) KYC of Custodian.

## Instructions / Terms & Conditions

### 1. General Instructions

- Investors are requested to read the Key Information Memorandum (KIM), Scheme Information Document (SID), Statement of Additional Information (SAI), and addenda issued from time to time (collectively referred to as the Scheme Documents) carefully before investing in the Scheme.
- Application Forms complete in all respects, may be submitted at any Official Points of Acceptance (OPA) of JioBlackRock Mutual Fund (the "Fund"). For locations, please visit [www.jioblackrockamc.com](http://www.jioblackrockamc.com).
- New investors initiating investments via the Systematic Investment Plan (SIP) route are required to submit both the Application Form and the SIP Enrolment Form.
- The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick the relevant options wherever applicable. Do not overwrite. Any correction or change made in the Application Form should be countersigned by the investor(s).
- Investors may write their PAN / Folio Number on the reverse side of the accompanying cheques.
- Applications incomplete in any respect are liable to be rejected. Jio BlackRock Asset Management Private Limited (the AMC) / Jio BlackRock Trustee Private Limited (Trustee) have absolute discretion to reject any such Application Forms.
- Copies of the documents submitted should be accompanied by originals for verification. In case originals are not produced, the AMC/Mutual Fund reserves the right to seek attested copies of the relevant documents.
- Investors should retain the acknowledgement evidencing submission of the transaction till they receive a confirmation of acceptance or rejection of transaction. In case of difference of details in acknowledgement vis-à-vis actual transaction document, the details as mentioned on transaction document will prevail.

### 2. Existing Unit holders

- Unit holders already holding a folio in JioBlackRock Mutual Fund can quote their existing Folio Number and Name corresponding to that folio. The personal details and Bank Account details as per the existing folio would apply to the said investment and would prevail over any conflicting information furnished in this form.
- In case the name and signature of the Unit holder as provided in this application does not correspond with details in the existing folio, the application form may be rejected, at the discretion of the AMC/ Fund.

### 3. New Investors

- Name should be given in full without any abbreviations as per PAN / PEKRN only, for the First, Second, Third Holder(s), POA, Nominee and Guardian.
- Please provide a valid email ID & mobile number along with family declaration. This will enable effective communication regarding investment-related updates and help resolve queries promptly.
- For applications submitted by Companies, Bodies Corporate, Trusts, Societies, FPIs, and other non-individual entities, the Name of the Contact Person, along with Email ID and Telephone Number, must be mentioned.
- As mandated by SEBI, investors are required to provide the following information viz. Occupation details, Gross Annual Income / Networth and Politically Exposed Person (PEP)\* status. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for first/sole investor) is required to be provided as part of Client Due Diligence (CDD) process of the Fund. These details are mandatory for both Individual and Non-Individual investors.

\*Politically Exposed Persons" (PEPs) are individuals who have been entrusted with prominent public functions by a foreign

country, including the heads of States or Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials. PEPs shall include the family members or close relatives / associates of PEPs.

- In the event the application has more than one investor, the mode of holding should be specified. In case it is not mentioned, the default mode for holding will be "Anyone or Survivor".
- Investors must indicate their tax status by ticking the appropriate checkbox. For new investments, if no status is selected or an incorrect status is provided, the AMC reserves the right to determine the correct status based on available information such as PAN or bank account details or such other information of the investor available with the AMC. The AMC shall not be responsible for any claims made by the investor/third party on account of updation of tax status. If "Others" is selected, the specific nature of the status must be clearly mentioned in the space provided.

### 4. Permanent Account Number (PAN)

- SEBI has made it mandatory for all investors (including joint holders) to mention the PAN details irrespective of the amount of investment, except the following categories:
- Where the investor is a minor, PAN details of parent / legal guardian, as the case may be must be submitted.
- The requirement to provide PAN is exempt for the following categories of investors, subject to fulfillment of prescribed conditions and submission of requisite documents:
  - Micro Investments: Individuals (including NRIs but excluding PIOs), minors, and sole proprietors investing up to Rs. 50,000 in a rolling 12-month period or in a financial year per mutual fund, through lump sum or SIP mode. Investors must complete KYC through a valid KYC acknowledgment or confirmation. Refer section Micro Investments (PEKRN) for more information.
  - Residents of the State of Sikkim are also exempt from the requirement of PAN for investing in mutual funds, subject to submission of proof of address evidencing their status as a Sikkim resident and fulfillment of KYC documentation requirements as prescribed by SEBI/AMFI.
  - Investments by Central and State Government Officials Appointed by Courts: Includes entities such as Official Liquidators, Court Appointed Receivers, etc., investing on behalf of Government or under directions of the Court. These entities must submit valid identity and address proof along with documentary evidence of such appointment.
  - Transactions by UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India, subject to submission of valid documentation evidencing the nature of the entity and the purpose of investment.

The AMC / Fund reserves the right to ascertain the status of such entities with adequate supporting documents. Applications not complying with the above requirement may not be accepted/processed. No Redemption and related transaction(s) will be processed.

### 5. Micro Investments (PEKRN)

- As per SEBI guidelines, individuals and Sole proprietary firms who do not possess a PAN ("Eligible Investors")\* may invest up to Rs. 50,000 in a rolling 12-month period or financial year i.e. April to March. However, Eligible Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA).
- Eligible Investors must quote PAN Exempt KYC Reference Number (PEKRN) issued by the KRA under the KYC acknowledgement letter in the application form and submit a copy thereof along with the application form.
- In case the investor is a minor, PEKRN details of the Guardian shall be submitted, as applicable.

- Eligible Investors must not possess a PAN at the time of submission of application form.
  - Eligible investors must hold only one PEKRN issued by any one of the KRAs.
  - If an application for investment together within investments made in a rolling 12-month period or financial year exceeds Rs. 50,000, such an application will be rejected. New / Additional Purchase and Systematic Investment Plans (SIP) will be covered in the limit of Rs. 50,000.
  - Investors may subsequently switch their investments to other Schemes of the Mutual Fund. However, where the switch amount is Rs. 50,000 or more, the investor is required to furnish a copy of their self-attested PAN along with valid KYC compliance. In the absence of these, the switch request is liable to be rejected, in accordance with extant Income Tax regulations and SEBI guidelines.
  - The detailed procedures / requirements for accepting applications shall be as specified by the AMC/Trustee from time to time and their decision in this behalf will be final and binding.
- \*HUFs and other categories are not eligible for such investments.*

## 6. Who cannot invest?

The following persons are not eligible to invest in the scheme and apply for subscription to the units of the Scheme:

1. Overseas Corporate Bodies, as defined under the Foreign Exchange Management Act, 1999.
2. Investor residing in any Financial Action Task Force (FATF) designated High Risk jurisdiction.
3. A person who is resident of Canada;
4. United States Person (U.S. person\*) as defined under the extant laws of the United States of America, except the following:
  - a. NRIs/PIOs may invest/transact, in the Scheme, when physically present in India, upon submission of such documents/undertakings, etc., as may be stipulated by AMC/Trustee from time to time and subject to compliance with all applicable laws and regulations.
  - b. FPIs may invest in the Scheme through submission of physical form in India, subject to compliance with all applicable laws and regulations and the terms, conditions, and documentation requirements stipulated by the AMC/Trustee from time to time and subject to compliance with all applicable laws and regulations.

The Trustee/AMC reserves the right to put the transaction requests received from such U.S. person on hold or reject the transaction request and redeem the units, if allotted, as the case may be, as and when identified by the Trustee / AMC that the same is not in compliance with the applicable laws and/or not fulfilled the terms and conditions stipulated by Trustee/AMC from time to time. Such redemptions will be subject to applicable taxes and exit load, if any.

The application form(s) for transactions (in non-demat mode) from such U.S. person will be accepted ONLY at the Investor Service Centers (ISCs) of the AMC.

\*The term "U.S. person" means any person that is a U.S. person within the meaning of Regulations under the Securities Act of 1933 of U.S. or as defined by the U.S. Commodity Futures Trading Commission or as per such further amended definitions, interpretations, legislations, rules etc, as may be in force from time to time.

The Fund reserves the right to include / exclude new / existing categories of investors who can invest in the Scheme from time to time, subject to SEBI Regulations and other prevailing statutory regulations, as applicable.

The AMC/ Trustee shall not be liable for any loss or expenses incurred in respect of those transaction requests/allotted units which have been kept on hold or rejected or reversed.

## 7. Investment on behalf of Minors

- The minor shall only be the sole Unit holder in a folio. Joint holding is not allowed.
  - Details of the parent viz., father or mother or legal Guardian must be mentioned for investments made on behalf of a minor.
  - A valid birth certificate or passport or School Leaving Certificate or any other valid document issued by a Government authority evidencing the date of birth of the minor.
  - In case of a court-appointed legal guardian, a notarized or attested copy of the court order must also be submitted.
  - If the submitted date of birth proof contains details establishing relationship between the guardian and the minor, the same shall be accepted. In the absence of such details, appropriate documents evidencing the relationship must be provided.
  - Investments in the name of minors shall be permitted only from bank account of the minor, parent or legal guardian of the minor or from a joint account of the minor with the parent or legal guardian. Redemption / Income Distribution cum Capital Withdrawal (IDCW), if any proceeds for investments held in the name of Minor shall be transferred to the verified bank account of the minor (i.e. of the minor or minor with parent/ legal guardian) only.
  - The standing instruction including SIP, STP and SWP will be registered only till the date of minor attaining majority, though the instructions may be for a period beyond that date.
  - The Folio will be frozen for operation from the date of beneficiary child completing 18 years of age, till the status of the minor is changed to major. Upon attaining the status of major, the minor in whose name the investment was made, shall be required to submit an application along with prescribed documents for changing the status in the Fund's records from 'Minor' to 'Major'.
- For more details, please refer to SAI.

## 8. Bank Details

### i. Bank Account Details (For redemption/ IDCW if any):

- Investors must provide the details of the pay-out bank account held in India (i.e. account into which redemption / IDCW proceeds are to be paid), including the 11-digit Indian Financial System Code (IFSC), in the application form at the time of purchase of units. The same is mandated to be provided under SEBI Regulations.
- In case pay-out bank account is different from pay-in bank account (i.e., bank account from which subscription payment is being made), the investor is required to submit any one of the following as documentary proof along with the application form validating that pay-out bank account pertain to the sole / first holder.
  - a. Cancelled **original** cheque leaf of the pay-out bank account (where the account number and first holder name are printed on the face of the cheque). Investors should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse;
  - b. Self-attested copy of the bank passbook or a statement of bank account with current entries not older than 3 months having the name and address of the first holder and account number;
  - c. A letter from the bank on its letterhead certifying that the investor maintains an account with the bank, the bank account information like bank account number, bank branch, account type, & IFSC.

*Note: The above documents shall be submitted in Original. If copies are furnished, the same must be submitted at any Official Points of Acceptance (OPA) of JioBlackRock Mutual Fund (the "Fund").*

- Documents submitted will be verified with the original documents to the satisfaction of the Fund. The original documents will be returned across the counter to the investor after due verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank

manager with his / her full signature, name, employee code, bank seal and contact number.

- Further, in exceptional cases where Third Party Payments are accepted, the investor is required to submit any one of the documentary proofs as stated in **a, b** and **c** above for the pay-out bank account.
- Once the bank account is registered in the folio, it can be used for both pay-out and pay-in purposes.
- Investors are requested to note that applications for new folio creation submitted (wherein pay-out bank details are different from pay-in bank details) without any of the above-mentioned documents relating to pay-out bank account details will be treated as invalid and liable to be rejected.

#### ii. Multiple Bank Account Registration:

- An investor may register multiple bank accounts (currently upto 5 for Individuals and 10 for Non – Individuals) in a folio for receiving redemption / IDCW proceeds, if any. To register multiple bank accounts, investors are required to submit a duly filled Multiple Bank Accounts Registration form along with necessary supporting documents for each bank account.
- The form can be downloaded from our website - [www.jioblackrockamc.com](http://www.jioblackrockamc.com).

### 9. Investment Details

- Investors are required to clearly indicate their choice of Scheme for which subscription is made at the time of filling up the Application Form.
- Subscription(s) in the scheme(s) should meet the minimum amount requirements specified for the respective scheme(s).

### 10. Mode of Payment for Subscription :

#### Pay-In Bank Account

- Investor must provide the details of the pay-in bank account (i.e. account from which a subscription payment is being made) in the application form.
- Cheques drawn should be in favour of "JioBlackRock Mutual Fund" and crossed "A/c Payee only" and made payable at the location where the application form is submitted to the designated Investor Service Centre / Collection Centre.

*Non MICR / outstation post-dated cheques/demand drafts/ money orders/ postal orders will not be accepted.*

- Subscriptions can also be made through various electronic modes such as Real Time Gross Settlement (RTGS) / National Electronic Fund Transfer (NEFT) / Direct Credit (DC) / National Automated Clearing House (NACH) / Net banking / Unified Payment Interface (UPI) / Immediate Payment Service (IMPS) or such other modes as may be introduced by RBI from time to time and made available by the AMC. The investor should place a RTGS / NEFT / Fund Transfer request with their bank from where the funds are to be paid and submit the bank acknowledged copy of request letter with the application form by mentioning the Unique Transaction Reference (UTR) Number / Transaction reference number which is generated for their request by the bank.

*RTGS / NEFT request is subject to the RBI regulations and guidelines governing the same. The AMC/Fund shall not be liable for any loss arising or resulting from delay in credit of funds in the Fund/Scheme collection account.*

#### NRIs, PIOs, OCIs

- Repatriation basis: Payments by NRIs/PIOs/OCIs may be made by way of cheques drawn on Non-Resident External (NRE) account payable at par and payable at the cities where the Investor Service Centers are located.
- Non-Repatriation basis: NRIs investing on a non-repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the

cities where the Investor Service Centers are located.

### 11. Mode of Payment of Redemption / IDCW Proceeds

#### i. Unit holders holding Units in Physical (Non-Demat) mode

- Real Time Gross Settlement (RTGS) / National Electronic Funds Transfer (NEFT): The AMC utilises the facility of 'RTGS' and 'NEFT' offered by Reserve Bank of India (RBI), to facilitate credit of redemption and IDCW proceeds directly into the bank account of the Unit holder maintained with the banks participating in the RTGS / NEFT Settlement systems.
- In the absence of a specific request from the Unit holder exercising their choice of mode of payment offered by the Fund from time to time, the redemption / IDCW proceeds shall be processed via the RTGS / NEFT / DC mechanism only. Where this payment mode is not feasible / available, the payment of such proceeds will be made through cheque / demand draft.
- The AMC / Fund shall not be held liable for any losses/ claims, etc. arising on account of processing the direct credit or credit via RTGS / NEFT / cheque / demand draft of redemption / IDCW proceeds on the basis of Bank Account details as provided by the Unit holder in the Application Form.
- In case of unforeseen circumstances, the AMC / Fund reserves the right to issue a cheque / demand draft, if relevant details are available in investor's folio / KYC records.
- Any charge levied by the investor's bank for receiving payment through electronic mode will be borne by the investor. The AMC / Fund will not accept any request for refund of such bank charges. The AMC / Fund reserves the rights to change the payout mode from cheque / demand draft to electronic credit OR vice versa in case such a situation arises requiring the need for this conversion in payout mode to ensure faster payment/safety/risk mitigation.

#### ii. Unit holders holding Units in Demat mode

Investors will receive their redemption payout / IDCW proceeds, if any directly into their bank accounts linked to the demat accounts. For investors, who have invested through the offline mode and subsequently dematerialized the units, investors will receive their redemption / IDCW proceeds directly into the bank account registered with their demat account ONLY irrespective of the account registered in the folio at the time of offline application.

### 12. Communication

- Investors should ensure that the email address and mobile number provided is that of First / Sole holder or of their Family member. Family means spouse, dependent children, Dependant Sibling or dependent parents and a guardian in case of a minor. Option to indicate if the contact information belongs to PMS, Custodian and Power Of Attorney has also been provided.
- The email address and mobile number provided shall be registered in the folio for all official communications from the AMC / RTA.
- If this section is left blank, the email address and mobile number of the First / Sole Holder, as available in the KYC records, shall be registered in the folio.
- If the AMC / RTA finds the provided contact details to be incorrect, doubtful, or not belonging to the investor / family member, the AMC / RTA may not capture / update these details in the folio. In such case AMC / RTA will intimate the investor to provide the correct details by submitting the request at any Official Point of Acceptance (OPA) or through a KYC change request form or other permissible mode.
- Submission of an email address and mobile number shall be treated as the investor's consent to receive allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode. These documents shall be sent physically in case the Unit holder opts/request for the same.



- Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly intimate the Fund about the same to enable the Fund to make the delivery through alternate means.

*It is deemed that the investor is aware of all security risks including possible third-party interception of the documents and contents of the documents becoming known to third parties. Subject to Regulations, The AMC / Trustee reserves the right to send any communication in physical mode.*

### 13. Unit Holding Option

Investors are provided two options to hold their Units viz. Physical mode and Demat mode.

#### i. Physical mode (non-demat)

- Units will be held in account statement mode and a Statement of Account (SOA) will be issued to the investors by the AMC / RTA.
- Investors who wish to convert their holdings to Demat at a later stage may do so by submitting a Dematerialisation Request Form (DRF) through their DP.

#### ii. Demat mode

- Investors opting to hold Units in dematerialised (Demat) mode must provide valid DP ID and Client ID details of their Depository Participant (DP) account with NSDL or CDSL.
- The names of the investors must match with those in the Demat account.
- Units shall be directly credited to the investor's demat account after the realization of payment funds and depositories will issue a statement.

*Please attach a copy of the Client Master Form / DP statement showing active demat account details for verification.*

- Upon units being allotted in demat mode, all details such as address, bank details, nomination etc. will be applicable as available in the depositories' records. For effecting any subsequent changes to such information, Investors should approach their DP. Redemption requests for units held in demat mode must be submitted to DP or through Stock Exchange Platform, as applicable. Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL.
- Holding / transacting of units held in demat mode shall be in accordance with the procedures / requirements laid down by the Depositories, viz. NSDL/ CDSL in accordance with the provisions under the Depositories Act, 1996 and the regulations thereunder. Once the units are allotted in demat mode, no account statement / capital gain statement/or any other statements can be issued from JioBlackRock Mutual Fund / RTA to the investors.
- In case the demat details mentioned in the application are incomplete / incorrect or do not match with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat mode i.e. in physical mode if the application is otherwise valid and a Statement of Account (SOA) will be issued.

### 14. Nomination

- As per SEBI guidelines, it is mandatory for individual investors, except jointly held folios to either:
  - Provide nominee details, or
  - Opt out of nomination by submitting a duly signed declaration.
- The signatories for this nomination, shall be as per the mode of holding in the folio i.e.
  - 'Either or Survivor' Folios - any one of the holder can sign
  - 'Jointly' Folios – all holders have to sign
  - 'First holder' Folios – only First holder to sign

- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee may be provided.
- Investors shall mandatorily provide the following:
  - any one of the following personal identifiers of the nominee – PAN or Driving Licence number or last 4 digits of Aadhaar (only the document number is required to be provided; not the document). However, in case of NRI / OCI / PIO, Passport number is acceptable
  - full contact details of nominee(s) such residential address, e-mail
  - address, telephone / mobile number
  - relationship of nominee(s) with the investor
  - Date of birth of nominee(s) (if nominee is a minor)
- Thumb impression, if affixed on the nomination form, shall be witnessed by two persons and details of such witnesses shall be duly captured in the form.

**For complete details about nomination, please refer to SAI.**

### 15. Power of Attorney (POA)

- In case of application under POA by an Individual or by a Non-Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for / authorize the POA holder / authorized signatory to make application/ invest on behalf of the investor.
- The application form must be accompanied by the original or a certified true copy/duly notarized copy of Power of Attorney.

### 16. Signatures

- Signature(s) should be in English or in any Indian Language specified in the eighth schedule of the constitution of India.
- If the application has more than one holder, all holders are required to sign the application form, regardless of the mode of holding (e.g., "Anyone or Survivor" or "Joint"). Applications that are not signed by all holders are liable to be rejected.
- Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
- Applications on behalf of minors should be signed by their parent/legal Guardian. In case of a HUF, the Karta should sign the Application Form on behalf of the HUF.
- In case of an application through a constituted Attorney, the Power of Attorney should be signed by the investor and the constituted Attorney. The Application Form should be signed in such cases by such constituted Attorney.
- In the case of company or other non-individual entities, the Authorized officials should sign the form under their official designation and affix the seal of the entity. The signatures should match with the authorised signatory list (ASL).

### 17. Prevention of Money Laundering

- SEBI vide its Master circular on Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under dated June 6, 2024, as amended from time to time, has mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework on anti-money laundering measures and also to adopt a Know Your Customer (KYC) policy.
- The Investor(s) should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

- To ensure appropriate identification of the Investor(s) and with a view to monitor transactions for the prevention of money laundering, the AMC/ Fund reserves the right to seek information, record investor's telephonic calls and / or obtain and retain documentation for establishing the identity of the Investor(s), their beneficial ownership, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.
- The Fund, AMC, Trustee and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application / allotment of Units or mandatory redemption of Units due to non-compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the PMLA and SEBI/AMFI circular(s) and reporting the same to FIU-IND.
- For further details, please refer Section 'Prevention of Money Laundering' under the Statement of Additional Information available on our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com).

## 18. Know Your Customer (KYC) Compliance

### i. KYC Registration Agency (KRA):

#### Units held in account statement (non-demat) mode

- KYC (Know Your Customer) norms are mandatory for ALL holders including Guardian and POA for making investments in Mutual Funds, irrespective of the amount of investment. In case of non-individuals, each Ultimate Beneficial Owner (UBO) has to be KYC compliant.
- SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. New investors are therefore requested to use the Common KYC application form and carry out the KYC process including In Person Verification (IPV) with any SEBI registered intermediaries. The KYC Application Forms are also available on our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com).
- Existing KYC compliant investors need not undergo the same process again with the Fund, subject to validation of KYC compliance status. However, the Fund/AMC reserves the right to carry out new KYC of the investor at its discretion.
- In case of non-compliance with KYC requirements or if the KYC status is found to be invalid, the Fund/AMC reserves the right to reject/reverse the transaction and refund the investment amount, without any interest.

#### Units held in demat mode

- For units held in demat mode, the KYC performed by the Depository Participant of the investors will be considered as KYC verification done by the Trustee / AMC. In the event of non-compliance of KYC requirements, the Trustee/AMC reserves the right to reject the application and refund the subscription amount / effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any and freeze the folio of the investor(s).
- For further details, please refer Section 'Know Your Customer (KYC) Compliance' under Statement of Additional Information available on our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com)

### ii. Central KYC Records Registry (CKYCR):

- Investors, who have already completed CKYC process & have obtained KIN can quote their 14-digit unique KYC identifier KIN in the application form. For more details about CKYCR, please refer to SAI.

## 19. Details under Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS)

- The Central Board of Direct Taxes has notified Rules 114F to

114H, as part of the Income tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all investors. In relevant cases, information will have to be reported to tax authorities / appointed agencies.

- Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto.
- Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.
- Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
- If you have any questions about your tax residency, please contact your tax advisor.
- Further, if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number (TIN) or any other relevant reference ID/ Number. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

## 20. Ultimate Beneficial Owners(s) (UBO)

- Pursuant to SEBI Master Circular dated June 6, 2024 on 'Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under', , as amended from time to time, investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))'. For complete details on UBO, please refer SAI.
- Non-individual investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'.
- All the UBOs should be KYC compliant.
- Please visit our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com) to download the UBO Form.

## 21. Third Party Payments

"Third party Payments" for this purpose are defined as:

- Investment made through instruments issued from an account other than that of the beneficiary investor.
- Investment made from a joint bank account, where the first holder of the mutual fund folio is not one of the holders of the bank account from which payment is made.

Investments made through Third Party payments will not be accepted, except in the following cases, subject to submission of valid documentation and compliance with the Fund/AMC policies:

- Payment in respect of investments for minor investors from the bank account of the minor, or parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.
- Payment by Employer on behalf of employee under Systematic Investment Plans (SIPs) or lumpsum / one time subscription, through Payroll deductions or deductions out of expense reimbursements.
- Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.
- Payment by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods / services, in the form of the Mutual Fund Units through SIP or lump sum /

one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

The above-mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- Obtaining necessary declaration from the Investor/unit holder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
- Verifying the source of funds to ensure that funds have come from the drawer's account only.

The AMC/ Mutual Fund reserves the right to accept applications, over and above the circumstances listed above, subject to completion of requisite documentation and additional checks and verification as stipulated by the AMC/the Fund.

In case of investment / subscriptions via RTGS, NEFT, bank transfer, net banking or any similar electronic modes, the AMC may seek a copy of the bank instruction provided to the drawer's bank, clearly reflecting the account holder's name and the bank account number debited or any other proof evidencing the source of funds.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received an account not belonging to the first unit holder of the mutual fund.

## Debit Mandate Checklist:

- Bank Account Number, Bank Name, IFSC or MICR Code
- Write Amount in words and in Figures (maximum limit)
- Your Name and Signature as in your bank account

|                 |                 |                 |
|-----------------|-----------------|-----------------|
| RIA / PMRN CODE | RIA / PMRN NAME | TIME STAMP HERE |
|-----------------|-----------------|-----------------|

☐ I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stock Broker registered in the concerned folio, if applicable.

Please tick (✓) as applicable:

- ☐ OTM Debit Mandate is already registered in the folio. ☐ OTM Debit Mandate is attached and to be registered in the folio.

Investor Name:  Folio No.

### 1. INVESTMENT DETAILS FOR SIP (Please refer instructions for more details) PAN / PEKRN

| Scheme Name  | JioBlackRock  | JioBlackRock  | JioBlackRock  |
|--|---|---|---|
| Frequency  | <input type="checkbox"/> Weekly _____<br>(Any day between Monday* to Friday)<br><input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly  | <input type="checkbox"/> Weekly _____<br>(Any day between Monday* to Friday)<br><input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly  | <input type="checkbox"/> Weekly _____<br>(Any day between Monday* to Friday)<br><input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly  |
| SIP Date (For Monthly & Quarterly) (1st*– 31st)  | <input type="text"/> <input type="text"/>   | <input type="text"/> <input type="text"/>   | <input type="text"/> <input type="text"/>   |
| Enrolment Period (MM/YY)<br>(^If end period is not given, the end period of OTM Debit Mandate will apply.) | From <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/><br>To^ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/><br><b>OR</b><br><input type="checkbox"/> 40 yrs <input type="checkbox"/> 10 yrs <input type="checkbox"/> 7 yrs <input type="checkbox"/> 5 yrs | From <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/><br>To^ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/><br><b>OR</b><br><input type="checkbox"/> 40 yrs <input type="checkbox"/> 10 yrs <input type="checkbox"/> 7 yrs <input type="checkbox"/> 5 yrs | From <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/><br>To^ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/><br><b>OR</b><br><input type="checkbox"/> 40 yrs <input type="checkbox"/> 10 yrs <input type="checkbox"/> 7 yrs <input type="checkbox"/> 5 yrs |
| SIP Amount in ₹  | <input type="text"/>  | <input type="text"/>  | <input type="text"/>  |

### SIP TOP-UP DETAILS (Please refer instructions for more details)

| Frequency                           | <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly | <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly | <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly |
|-------------------------------------|--|--|--|
| Amount in ₹<br>OR<br>Percentage (%) | <input type="text"/>   | <input type="text"/>   | <input type="text"/>   |
| SIP Top-Up Cap Amount in ₹          | <input type="text"/>   | <input type="text"/>   | <input type="text"/>   |

(\* Default Option / Date)

## OTM Debit Mandate Form NACH

[Applicable for Lumpsum, Additional Purchases as well as SIP Registrations]

UMRN  Office use only Date

Utility Code  Office use only Tick (✓) ☒ CREATE ☐ MODIFY ☐ CANCEL

Sponsor Bank Code  Office use only I/We hereby authorize: **Jio BlackRock Mutual Fund**

to debit (tick ✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other  Account No.

With Bank  Bank Name & Branch IFSC / MICR

an amount of Rupees  In Words ₹  In Figures

**Debit Type** ☒ Fixed Amount ☒ Maximum Amount **FREQUENCY** ☒ Monthly ☒ Quarterly ☒ Half Yearly ☒ Yearly ☒ As & when presented

PAN / PEKRN

I agree for the debit of mandate processing charges by the bank whom I am authorising to debit my account as per latest schedule of charges of the bank. This is to confirm that the declaration has been carefully read, understood and made by me / us. I / We have understood that I / we are authorised to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity or the bank where I have authorised the debit and express my willingness and authorize to make payments through participation in NACH / Direct Debit. I / We hereby confirm adherence to the terms of OTM Facility and as amended from time to time and of NACH / (Debits) / Direct Debits. Authorisation to Bank: This is to inform that I / We have registered for NACH (Debit Clearing) / Direct Debit facility and that my / our payment towards my / our investment in JioBlackRock Mutual Fund shall be made from my / our above mentioned bank account with your Bank. I / We authorize the representatives of JioBlackRock Mutual Fund carrying this mandate form to get it verified and executed.

|  |                                     |                             |                             |
|--|-------------------------------------|-----------------------------|-----------------------------|
| From <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | 1. <input type="text"/>             | 2. <input type="text"/>     | 3. <input type="text"/>     |
| to <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>   | Signature of Primary Account Holder | Signature of Account Holder | Signature of Account Holder |
| Maximum period of validity of this mandate is 40 years only.   | 1. <input type="text"/>             | 2. <input type="text"/>     | 3. <input type="text"/>     |
|  | Name as in bank records             | Name as in bank records     | Name as in bank records     |

P.T.O

## ACKNOWLEDGEMENT SLIP - SIP APPLICATION

Received, subject to verification and conditions.

JioBlackRock Mutual Fund

|                  |                      |             |                      |                 |
|------------------|----------------------|-------------|----------------------|-----------------|
| Folio No.        | <input type="text"/> | PAN / PEKRN | <input type="text"/> | TIME STAMP HERE |
| Name of Investor | <input type="text"/> |             |                      |                 |
| Scheme Name      | <input type="text"/> |             |                      |                 |



First SIP transaction via single cheque no.  favouring 'Jio BlackRock Mutual Fund' Dated

☐ Use existing One Time Mandate (To be filled in case of more than one OTM registration)

Bank Name:

Account No.

**2. DEMAT ACCOUNT STATEMENT DETAILS** ☐ Demat Mode (Enclose Latest Client Master / Demat Account Statement) ☐ Physical Mode (Default)

Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL).

NSDL | DP ID | I N

Beneficiary Account No.

CDSL | Beneficiary Account No.

**3. DECLARATION AND SIGNATURES**

I / We have read, understood and agree to abide with the contents of Scheme Information Document (SID), Key Information Memorandum (KIM) of the above-mentioned Scheme and Statement of Additional Information (SAI) of JioBlackRock Mutual Fund and the addendums issued thereto till date ('Scheme Related Documents'), I hereby declare that the particulars given by me/us are correct and complete.

I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC FOR THIS INVESTMENT. I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a rolling 12-month period or in a financial year (Applicable for Micro investment only.) with your fund house.

Sign below as per mode of holding

1st Holder/Guardian/  
Authorised Signatory

2nd Holder/Authorised Signatory

3rd Holder/Authorised Signatory

POA Holder

**INSTRUCTIONS FOR ONE TIME MANDATE (NACH) FORM**

1. Please fill the OTM form in **ENGLISH**, using **BLOCK LETTERS** only. If you already have a registered OTM, you do not need to submit the form again.
2. Investors who have not registered for the OTM facility can submit the form with a cancelled cheque (original or copy) with the investor's name and account number pre-printed, or a bank verification letter on the bank's letterhead with complete account details. Incomplete or unverifiable documentation may lead to rejection.
3. Provide the full bank account number and bank name. The first holder in the folio must be one of the holders in the bank account. The form must be signed by all bank account holders as per the mode of operation in the bank records. Signatures must match those recorded with the bank.
4. Please mention the mandate amount in both words and figures.
5. Mention the start date of the mandate and the end date. As per NPCI guidelines, the end date cannot exceed 40 years from the start date.
6. The 9-digit MICR or the 11-digit IFSC is a mandatory requirement without which OTM will be rejected.
7. The UMRN, the Sponsor Bank Code and the Utility Code are for official use only and need not be filled by the investors.
8. Investors should retain the acknowledgement evidencing submission of the transaction till they receive a confirmation of acceptance or rejection of transaction. In case of difference of details in acknowledgement vis-à-vis actual transaction document, the details as mentioned on transaction document will prevail.

## SIP INSTRUCTIONS / TERMS & CONDITIONS

1. New investors should submit this SIP Enrolment Form along with the Common Application Form (CAF).
2. Existing investors need not submit a new Common Application Form and may register for SIP by mentioning their existing folio number.
3. Please fill the form in English and in block letters. Tick the relevant boxes wherever applicable.
4. The completed SIP form should be submitted at any Official Points of Acceptance (OPA) of JioBlackRock AMC/ RTA
5. Investors should clearly mention the preferred SIP frequency (weekly, monthly, or quarterly) in the form.
6. The minimum SIP amount is Rs. 500, in multiples of Re. 1 thereafter, with at least 6 instalments.
7. SIP can be started without an accompanying purchase.
8. For registration of a SIP, the duly completed SIP form must be submitted at least 21 calendar days prior to the desired start date if a One Time Mandate (OTM) is not registered, and at least 10 calendar days prior in case an OTM is already registered. If the selected start date does not meet the mentioned timelines, the JioBlackRock AMC will make reasonable efforts to process the SIP as per the investor's request. However, if that is not possible, the SIP will begin from the subsequent instalment date as per the selected frequency.
9. The initial / first SIP investment amount, if provided, can be different from the subsequent SIP amount provided the initial / first SIP investment amount also confirms to the minimum SIP amount criteria of the respective scheme.
10. Cheques should be drawn payable at locations of Jio BlackRock Asset Management Private Limited branches & authorised centres / RTA locations. Non MICR / outstation post-dated cheques will not be accepted for SIP.
11. The units shall be allotted based on the day on which funds are credited to Mutual Fund collection account by the service provider/ bank.
12. The investor has the right to discontinue SIP at any time by submitting a request at least 2 business days prior to next instalment date to any of the offices of Jio BlackRock Asset Management Private Limited or its Authorized Centres.
13. SIP registration will be discontinued if three consecutive instalments fail in case of Weekly or Monthly SIPs, or two consecutive instalments fail in case of Quarterly SIPs, due to any reason.
14. In case any details are left blank/illegible in the SIP form, the JioBlackRock AMC may register the SIP using the applicable default options as specified in the Statement of Additional Information (SAI).
15. As per SEBI guidelines, individuals and Sole proprietary firms who do not possess a PAN ("Eligible Investors")\* may invest up to Rs. 50,000 in a rolling 12-month period or financial year i.e. April to March. However, Eligible Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA). \*For complete information, please refer section in SAI ( Micro Investments /PAN Exempt Investments) and section in CAF (Permanent Account Number (PAN)).
16. In case of investments on behalf of a minor, guardian details must be provided. The SIP will be registered only up to the date the minor attains majority, irrespective of the end date mentioned. For complete details, investors are requested to refer to the section 'Investments on behalf of Minor' in SAI.
17. If multiple bank accounts are registered under the OTM facility, the investor must clearly specify the bank name and account number from which the debit is to be initiated for the SIP. In the absence of such specification, or if a valid OTM is not available for the mentioned bank account, the JioBlackRock AMC reserves the right to initiate the debit from any of the registered OTMs or reject the request.

### SIP Top-Up Facility:

1. Top-Up Options: Investors can opt for SIP Top-Up either in terms of a fixed amount or a percentage increase to the SIP instalment.
  - Minimum in Amount: Rs. 50 and in multiples of Rs. 50 thereafter.
  - Minimum in Percentage: 10%, and in multiples of 5% thereafter.
2. Top-Up Frequency:
  - Half-Yearly: SIP instalment amount will increase by the specified amount or percentage after every 6th instalment.
  - Yearly: SIP instalment amount will increase by the specified amount or percentage after every 12th instalment.

Note: For Quarterly SIPs, only the Yearly Top-Up option is available.

3. Default:
  - If frequency or amount is not specified, the Top-Up will default to Yearly and Rs. 50.
  - If both frequency and amount are not specified, the SIP will be treated as a normal SIP (without Top-Up), subject to the form being otherwise complete.
  - If both percentage and amount are specified, then percentage will be considered for SIP Top-Up.
4. Maximum Cap Amount:
  - Top-Up will continue until each SIP instalment reaches the stated Maximum Cap Amount, after which the SIP amount remains constant until the end date.
  - If the Maximum Cap Amount is not mentioned, Top-Up will continue as per the selected frequency/amount, subject to the OTM limit.
  - If there's a mismatch between the OTM maximum amount and the Cap Amount, the lower of the two will be considered as the Cap Amount.
5. SIP Top-Up facility will not be available for Micro SIP.

Investors should retain the acknowledgement evidencing submission of the transaction till they receive a confirmation of acceptance or rejection of transaction. In case of difference of details in acknowledgement vis-à-vis actual transaction document, the details as mentioned on transaction document will prevail.

**RIA / Portfolio Manager information**
**For Office use only**

|                 |                 |                    |                |                       |                   |
|-----------------|-----------------|--------------------|----------------|-----------------------|-------------------|
| RIA / PMRN CODE | RIA / PMRN NAME | SCSB (Name & Code) | SCSB IFSC Code | Syndicate Member Code | SCSB Branch SL No |
|-----------------|-----------------|--------------------|----------------|-----------------------|-------------------|

☐ I / We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my / our transactions under Direct Plan to the RIA / Portfolio Managers / Stock Broker registered in the concerned folio, if applicable.

**1. APPLICANT DETAILS** (As available in Demat Account)

|                    |  |             |  |
|--------------------|--|-------------|--|
| 1st Applicant Name |  | PAN / PEKRN |  |
| 2nd Applicant Name |  | PAN / PEKRN |  |
| 3rd Applicant Name |  | PAN / PEKRN |  |
| Folio No. if any   |  |             |  |

**2. DEPOSITORY ACCOUNT DETAILS**

☐ Physical Mode (Default) ☐ Demat Mode (Enclose Latest Client Master / Demat Account Statement)

Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL) Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the depository participant.

|      |                         |     |                         |  |
|------|-------------------------|-----|-------------------------|--|
| NSDL | DP ID                   | I N | Beneficiary Account No. |  |
| CDSL | Beneficiary Account No. |     |                         |  |

**3. BANK ACCOUNT FOR BLOCKING OF FUNDS** [Bank Account should be in the name of 1st Applicant only]

|               |  |  |
|---------------|--|--|
| Bank Name     |  | <b>Please provide Bank Account details proof.</b>  |
| Account No    |  | Account Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____ |
| 11 Digit IFSC |  | Branch City  |

Total Amount to be blocked (₹) in Figures

Attention: NRI Investors: Payment should be made through their NRE / FCNR accounts.

**UNDERTAKING BY ASBA INVESTOR**

I/ We hereby undertake that, I/we have read and understood the instructions mentioned in this Form and Terms and Conditions concerning ASBA as mentioned in the Scheme Information Document (SID), Key Information Memorandum (KIM) of the above-mentioned Scheme and Statement of Additional Information (SAI) of JioBlackRock Mutual Fund and the addendums issued thereto till date.

I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018 ('SEBI ICDR Regulations') as amended from time to time.

In accordance with ASBA process provided in the SEBI ICDR Regulations a, I/We authorize (a) the Self Certified Syndicate Bank (SCSB) to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB/ASBA Account details or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/JioBlackRock Mutual Fund on receipt of instructions from its Registrar and Transfer Agent after finalisation of basis of allotment entitling me/us to receive Units on such transfer of funds, etc. (b) RTA / JioBlackRock Mutual Fund to issue instructions to the SCSB on or before allotment date, to remove the block on the funds in the bank account specified in the application and to transfer the requisite money to the Scheme's account / Bank account of JioBlackRock Mutual Fund.

In case the amount available in the bank account specified in this application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application.

If the DP ID, Beneficiary Account No. or PAN/ PEKRN furnished by me/us in the application is incorrect, incomplete or not matching with the depository records, the application shall be rejected and JioBlackRock Mutual Fund or Jio BlackRock Asset Management Private Limited or R&TA or SCSBs shall not be liable for losses, if any.

I / We confirm that details provided by me / us are true and correct.

**APPLICABLE FOR NRIs/PIOs/ /FPIs INVESTING ON REPATRIATION BASIS ONLY:** I/We confirm that I am/we are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account.

**CONTACT DETAILS OF 1st APPLICANT**

|            |  |
|------------|--|
| Mobile No. |  |
| Email ID   |  |

**SIGNATURE OF BANK ACCOUNT HOLDERS**

|               |               |               |
|---------------|---------------|---------------|
| 1st Applicant | 2nd Applicant | 3rd Applicant |
|---------------|---------------|---------------|

**This page is intentionally left blank**

## TERMS & CONDITIONS AND INSTRUCTIONS

1. An ASBA investor, intending to subscribe to a public, shall submit a completed ASBA form to a Self Certified Syndicate Bank (SCSB), with whom the bank account to be blocked, is maintained, through one of the following modes :
  - (i) Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA");
  - (ii) Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").
2. ASBA Application Form will not be accepted by any of the offices of JioBlackRock Mutual Fund or its Registrar & Transfer Agent.
3. From one bank account more than five applications will not be allowed.
4. Investors shall note that the NFO units will be credited in the demat account specified in the ASBA cum application form.
5. To ensure that NFO units are allotted, Investors to ensure that their demat accounts are active i.e. not frozen or suspended.
6. Investors to note that if the DP-ID, beneficiary account and the PAN provided in the form are incomplete, incorrect or do not match with the depository records of NSDL or CDSL, the application shall be rejected.
7. Bank account details provided in the application will be the bank account number from where the NFO application amount is authorized to be blocked, Bid amount, bank and branch name shall be given in this block.
8. Investors will ensure that sufficient balance is maintained in the bank account mentioned in the form, else the application shall be liable to be rejected.
9. In case an ASBA investor wants to withdraw his/her ASBA during the bidding / offer period, he/ she shall submit his/ her withdrawal request to the SCSB, which shall do the necessary, including deletion of details of the withdrawn ASBA from the electronic bidding system of the Stock Exchange(s) and unblocking of funds in the relevant bank account.
10. Demat/Non-Demat Mode:
  - i. Investor can hold units in demat/non-demat mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode. Demat is mandatory for NFO applications of ETF schemes only.
  - ii. The investor can dematerialize his/her holdings in non demat mode. Similarly, investor can rematerialize holdings in demat mode. All expenses in connection with conversion from demat to remat mode or remat to demat mode will have to be incurred by the investors.
  - iii. Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.
11. SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA.

Please visit [www.sebi.gov.in/cms/sebi/data/attach\\_docs/1365051213899.html](http://www.sebi.gov.in/cms/sebi/data/attach_docs/1365051213899.html) or [www.nseindia.com](http://www.nseindia.com) for list of currently available Self Certified Syndicate Banks (SCSB) offering ASBA facility with their designated branches
12. The investor is required to submit a copy of the acknowledgement receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form to be furnished to JioBlackRock Mutual Fund.
13. JioBlackRock Mutual Fund or its Registrar, shall not be liable for any negligence or mistake committed by the SCSBs



### ACKNOWLEDGEMENT FOR SCSB

|                                   |  |             |                         |
|-----------------------------------|--|-------------|-------------------------|
| Received from 1st Applicant       |  | PAN / PEKRN |                         |
| Scheme Name                       | JioBlackRock Liquid Fund   |             |                         |
| Depository Name [Please ✓]        | <input type="checkbox"/> National Securities Depository Ltd <input type="checkbox"/> Central Depository Services [India] Limited |             |                         |
| NSDL                              | DP ID  | I N         | Beneficiary Account No. |
| CDSL                              | Beneficiary Account No.  |             |                         |
| SCSB ACCOUNT DETAILS: Bank A/c No |  |             |                         |
| Bank Name & Branch                |  |             |                         |



### ACKNOWLEDGEMENT FOR INVESTOR

|                                     |                          |                        |                        |
|-------------------------------------|--------------------------|------------------------|------------------------|
| Received from Mr. / Ms. / Mrs. / M. |                          |                        |                        |
| Scheme Name                         | JioBlackRock Liquid Fund |                        |                        |
| SCSB Account details                |                          |                        |                        |
| Bank Name                           | A/c No.                  | SCSB, Stamp, Signature |                        |
| Branch Name                         |                          |                        | Date & Time of receipt |
| Total Amount to be Blocked          |                          |                        |                        |
| ₹ in figures                        |                          |                        |                        |



(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

Name of the entity

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

PAN  Date of Incorporation

City of incorporation  Country of incorporation

#### Additional KYC Information

Gross Annual Income (Rs.) [Please tick (✓)] ☐ Below 1 lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ 25 Lacs - 1 Crore ☐ >1 Crore

Net-worth ₹  as on  (Not older than 1 year)

Non-Individual Investors involved/providing any of the mentioned services

- ☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services ☐ Money Lending / Pawning
- ☐ None of the above

#### FATCA & CRS Declaration

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

| Sr. No.              | Country              | Tax Identification Number* | Identification Type (TIN or Other*, please specify) |
|----------------------|----------------------|----------------------------|---|
| <input type="text"/> | <input type="text"/> | <input type="text"/>       | <input type="text"/>                                |
| <input type="text"/> | <input type="text"/> | <input type="text"/>       | <input type="text"/>                                |
| <input type="text"/> | <input type="text"/> | <input type="text"/>       | <input type="text"/>                                |

\* In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

#### PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

☐ We are a Financial institution (Refer 1 of Part C) ☐ or Direct reporting NFE (Refer 3(vii) of Part C) (please tick (✓) as appropriate)

GIIN  Name of sponsoring entity

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

GIIN not available (please tick as applicable)

☐ Applied for ☐ Not obtained – Non-participating FI ☐ Not required to apply for - please specify 2 digits sub-category  (Refer 1 A of Part C)

#### PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C) ☐ Yes (If yes, please specify any one stock exchange on which the stock is regularly traded)

Security ISIN

Name of stock exchange

Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C) ☐ (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)

Name of listed company

Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company

Security ISIN

Name of stock exchange

Is the Entity an active NFE (Refer 2c of Part C)

☐ Yes Nature of Business

Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company

Please specify the sub-category of Active NFE  (Mention code – refer 2c of Part C)

Is the Entity a passive NFE (Refer 3(ii) of Part C)

☐ Yes Nature of Business

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm / LLP ☐ Unincorporated association / body of individuals  
☐ Public Charitable Trust ☐ Private Trust ☐ Religious Trust ☐ Trust created by a Will ☐ Others (please specify \_\_\_\_\_ )

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit?  
☐ Yes ☐ No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - We hereby declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below

Please list below the details of controlling person(s), confirming ALL countries of tax residency/permanent residency/citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attached additional sheets if necessary).

Owner-documented FI's should provide FI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

|   | UBO-1 / Senior Managing Official (SMO)  | UBO-2   | UBO-3   |
|---|---|---|---|
| Name  |   |   |   |
| PAN<br>(For Foreign National, TIN to be provided)   |   |   |   |
| % of beneficial interest.   | <input type="checkbox"/> >10% controlling interest.<br><input type="checkbox"/> >15% controlling interest.<br><input type="checkbox"/> >25% controlling interest.<br><input type="checkbox"/> NA. | <input type="checkbox"/> >10% controlling interest.<br><input type="checkbox"/> >15% controlling interest.<br><input type="checkbox"/> >25% controlling interest.<br><input type="checkbox"/> NA. | <input type="checkbox"/> >10% controlling interest.<br><input type="checkbox"/> >15% controlling interest.<br><input type="checkbox"/> >25% controlling interest.<br><input type="checkbox"/> NA. |
| Country of Tax Residency.   |   |   |   |
| Taxpayer Identification Number / Equivalent ID Number<br>(Mandatory if country of tax residency is also other than India) |   |   |   |
| Identity Type   |   |   |   |
| Place & Country of Birth  | Place of Birth _____<br>Country of Birth _____  | Place of Birth _____<br>Country of Birth _____  | Place of Birth _____<br>Country of Birth _____  |
| Nationality   |   |   |   |
| Date of Birth<br>[dd-mm-yyyy]   |   |   |   |
| PEP   | <input type="checkbox"/> Yes – PEP.<br><input type="checkbox"/> Yes – Related to PEP.<br><input type="checkbox"/> N – Not a PEP.  | <input type="checkbox"/> Yes – PEP.<br><input type="checkbox"/> Yes – Related to PEP.<br><input type="checkbox"/> N – Not a PEP.  | <input type="checkbox"/> Yes – PEP.<br><input type="checkbox"/> Yes – Related to PEP.<br><input type="checkbox"/> N – Not a PEP.  |
| Address<br>(include City, Pincode, State, Country)  | Address:<br><br>City:<br>Pincode:<br>State:<br>Country:   | Address:<br><br>City:<br>Pincode:<br>State:<br>Country:   | Address:<br><br>City:<br>Pincode:<br>State:<br>Country:   |
| Address Type  | <input type="checkbox"/> Residence<br><input type="checkbox"/> Business<br><input type="checkbox"/> Registered Office   | <input type="checkbox"/> Residence<br><input type="checkbox"/> Business<br><input type="checkbox"/> Registered Office   | <input type="checkbox"/> Residence<br><input type="checkbox"/> Business<br><input type="checkbox"/> Registered Office   |
| Email ID  |   |   |   |
| Mobile  |   |   |   |
| Gender  | <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others   | <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others   | <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others   |
| Father's Name   |   |   |   |
| Occupation  | <input type="checkbox"/> Public Service <input type="checkbox"/> Private Service<br><input type="checkbox"/> Business <input type="checkbox"/> Others   | <input type="checkbox"/> Public Service <input type="checkbox"/> Private Service<br><input type="checkbox"/> Business <input type="checkbox"/> Others   | <input type="checkbox"/> Public Service <input type="checkbox"/> Private Service<br><input type="checkbox"/> Business <input type="checkbox"/> Others   |
| Designation   |   |   |   |

|  |   |   |   |
|--|---|---|---|
| KYC Complied?  | <div><input type="checkbox"/> Yes <input type="checkbox"/> No.</div> <div>If 'Yes,' please attach the KYC acknowledgement.</div> <div>If 'No,' complete the KYC and confirm the status.</div> | <div><input type="checkbox"/> Yes <input type="checkbox"/> No.</div> <div>If 'Yes,' please attach the KYC acknowledgement.</div> <div>If 'No,' complete the KYC and confirm the status.</div> | <div><input type="checkbox"/> Yes <input type="checkbox"/> No.</div> <div>If 'Yes,' please attach the KYC acknowledgement.</div> <div>If 'No,' complete the KYC and confirm the status.</div> |
| BEN2 Form or any other relevant supporting documents as applicable** | <div><input type="checkbox"/> Attached</div>  | <div><input type="checkbox"/> Attached</div>  | <div><input type="checkbox"/> Attached</div>  |

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

\*\* Documentary proof for UBO.

FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Declaration

I/We have read and understood and agree to abide with terms and conditions of the Scheme Information Document (SID), Key Information Memorandum (KIM) and Statement of Additional Information (SAI) of JioBlackRock Mutual Fund and the addendums issued thereto till date. I/We acknowledge and confirm that the information provided above is true and correct. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm that the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you promptly inform in writing about any changes / modifications to the above information in future.

Name

Designation

Place

Date

D D M M Y Y Y Y

Sign of Authorised Signatory/ies & Seal of Entity

1st Holder/Guardian/  
Authorised Signatory

2nd Holder/Authorised Signatory

3rd Holder/Authorised Signatory

POA Holder



PART C FATCA Instructions & Definitions

1. Financial Institution (FI)

The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution: is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of:
  - (i) The three financial years preceding the year in which determination is made; or
  - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
  - ✓ That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
    - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
    - (ii) Individual and collective portfolio management; or
    - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;or
  - ✓ The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

    - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
    - (ii) The period during which the entity has been in existence. The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 (refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

|   |   |
|---|---|
| • FI not required to apply for GIIN:              |   |
| A. Reasons why FI not required to apply for GIIN: |   |
| Code  | Sub-category  |
| 01  | Governmental Entity, International Organization or Central Bank   |
| 02  | Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank |
| 03  | Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund  |
| 04  | Entity is an Indian FI solely because it is an investment entity  |
| 05  | Qualified credit card issuer  |
| 06  | Investment Advisors, Investment Managers& Executing Brokers   |
| 07  | Exempt collective investment vehicle  |
| 08  | Trustee of an Indian Trust  |
| 09  | FI with a local client base   |
| 10  | Non-registering local banks   |
| 11  | FFI with only Low-Value Accounts  |
| 12  | Sponsored investment entity and controlled foreign corporation  |
| 13  | Sponsored, Closely Held Investment Vehicle  |
| 14  | Owner Documented FFI  |

2. Non-financial entity (NFE) - Entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

|   |
|---|
| a. Publicly traded company (listed company)<br>A company is publicly traded if its stock are regularly traded on one or more established securities markets<br>(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange) |
| b. Related entity of a publicly traded company<br>The NFE is a related entity of an entity of which is regularly traded on an established securities market;  |

| c. Active NFE : (is any one of the following): |   |
|--|---|
| Code   | Sub-category  |
| 01   | Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;  |
| 02   | The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;   |
| 03   | Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;   |
| 04   | The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;   |
| 05   | The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;   |
| 06   | The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;  |
| 07   | Any NFE that fulfills all of the following requirements:  |
|  | <ul style="list-style-type: none"> <li>It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> <li>It is exempt from income tax in India;</li> <li>It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul> <p>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.</p> <p>Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-</p> <p>(I) an Investor Protection Fund referred to in clause (23EA);</p> <p>(II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and</p> <p>(III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;</p> |

### 3. Other definitions

|  |
|--|
| <p>(i) Related entity</p> <p>An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.</p>  |
| <p>(ii) Passive NFE</p> <p>The term passive NFE means</p> <p>(1) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or</p> <p>(2) an investment entity defined in clause (1) of these instructions</p> <p>(3) a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)</p> <p>(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)</p>   |
| <p>(iii) Passive income The term passive income includes income by way of :</p> <p>(1) Dividends,</p> <p>(2) Interest</p> <p>(3) Income equivalent to interest,</p> <p>(4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE</p> <p>(5) Annuities</p> <p>(6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income</p> <p>(7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,</p> <p>(8) The excess of foreign currency gains over foreign currency losses</p> <p>(9) Net income from swaps</p> <p>(10) Amounts received under cash value insurance contracts</p> <p>But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.</p> |

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- (1) More than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;
- (2) More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- (3) More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. Provided that in case of a trust, the reporting entity shall ensure that trustees disclose their status at the time of commencement of an account- based relationship or when carrying out transactions as specified in clause (b) of sub-rule (1) rule 9.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type (UBO):

| UBO Code | Sub-category   |
|----------|--|
| 01       | CP of legal person-ownership                         |
| 02       | CP of legal person-other means                       |
| 03       | CP of legal person-senior managing official          |
| 04       | CP of legal arrangement-trust-settlor                |
| 05       | CP of legal arrangement-trust-trustee                |
| 06       | CP of legal arrangement-trust-protector              |
| 07       | CP of legal arrangement-trust-beneficiary            |
| 08       | CP of legal arrangement-trust-other                  |
| 09       | CP of legal arrangement-Other-settlor equivalent     |
| 10       | CP of legal arrangement-Other-trustee equivalent     |
| 11       | CP of legal arrangement-Other-protector equivalent   |
| 12       | CP of legal arrangement-Other-beneficiary equivalent |
| 13       | CP of legal arrangement-Other-other equivalent       |
| 14       | Owner Documented FFI                                 |

(v) Specified U.S. person – A U.S. person other than the following:

- (1) a corporation the stock of which is regularly traded on one or more established securities markets;
- (2) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (3) the United States or any wholly owned agency or instrumentality thereof;
- (4) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (5) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (6) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (7) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (8) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (9) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (10) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (11) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (12) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (13) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FI An FI meets the following requirements:

- (a) The FI is an FI solely because it is an investment entity;
- (b) The FI is not owned by or related to any FI that is a depository institution, custodial institution, or specified insurance company;
- (c) The FI does not maintain a financial account for any non participating FI;
- (d) The FI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FI that holds its interest through a participating FI, a deemed-compliant FI (other than an owner-documented FI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

(vii) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

| (viii) Exemption code for U.S. persons |   |
|--|---|
| Code                                   | Sub-category  |
| A                                      | An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)  |
| B                                      | The United States or any of its agencies or instrumentalities   |
| C                                      | A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities   |
| D                                      | A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)  |
| E                                      | A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)   |
| F                                      | A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state |
| G                                      | A real estate investment trust  |
| H                                      | A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940  |
| I                                      | A common trust fund as defined in section 584(a)  |
| J                                      | A bank as defined in section 581  |
| K                                      | A broker  |
| L                                      | A trust exempt from tax under section 664 or described in section 4947(a)(1)  |
| M                                      | A tax exempt trust under a section 403(b) plan or section 457(g) plan   |

Folio No.

**NAME OF 1ST APPLICANT** (Beneficial Investor)

Mr. / Ms. / M/s.

**2. THIRD PARTY INFORMATION**

**Name Of Third Party** (Person making the payment)

Mr. / Ms. / M/s

Nationality  PAN / PEKRN

**Name of Contact Person & Designation** (In case of non-individual third party)

Mr. / Ms.

Designation

**Mailing Address**

City  State

Country  Pincode

**Contact Details**

Mobile No.  Email ID

**RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL INVESTOR** [Please tick (✓) as applicable]

| Status of the Beneficial Investor                               | <input type="checkbox"/> Minor  | <input type="checkbox"/> FPI <input type="checkbox"/> Client   | <input type="checkbox"/> Employee(s)   |
|---|---|--|--|
| <b>Relationship of Third Party with the Beneficial Investor</b> | <input type="checkbox"/> Father / Mother / Court appointed Legal Guardian (Please attach proof of relationship, if not already submitted) | Custodian - SEBI Registration No. of Custodian _____<br>Registration Valid Till _____  | Employer   |
| <b>Declaration by Third Party</b>                               | I / We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.                   | I / We declare that the payment is made on behalf of FPI/Client and the source of this payment is from funds provided to us by FPI/Client. | I / We declare that the payment is made on behalf of employee(s) under Systematic Investment Plans or as lump sum / one-time subscription, through Payroll Deductions. |

**3. THIRD PARTY OTHER DETAILS**

**3a. Status of Third Party Applicant [Please tick (✓)]** ☐ Individual ☐ Non - Individual

☐ Resident Individual ☐ Minor ☐ NRI-NRE ☐ Public Ltd. Co. ☐ Sole Proprietor ☐ Insurance Co. ☐ Govt. Body  
☐ Partnership Firm ☐ LLP ☐ NRI-NRO ☐ Mutual Fund ☐ Private Ltd. Co. ☐ AOP / BOI ☐ Banks  
☐ Provident Fund ☐ Supperannuation / Pension Fund ☐ Gratuity Fund ☐ Financial Institution ☐ FPI ☐ HUF  
☐ NPS Trust ☐ Defence Establishment ☐ FPI Category -I / II / III ☐ PIO ☐ Others \_\_\_\_\_

**3b. Occupation Details [Please tick (✓)]** ☐ Service ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional

☐ Housewife ☐ Business ☐ Retired ☐ Agriculture ☐ Proprietorship ☐ Others \_\_\_\_\_

**3c. Gross Annual Income (₹) [Please tick (✓)]** ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10- 25 Lacs ☐ >25 Lacs-1 Crore ☐ >1 Crore

**c. Net-worth** (Mandatory for Non-Individuals) ₹ \_\_\_\_\_ as on

(Not older than 1 year)

**3d. Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors)

☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

**3e. Non-Individual Investors involved / providing any of the mentioned services**

☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services  
☐ Money Lending / Pawning ☐ None of the above

#### 4. THIRD PARTY PAYMENT DETAILS

| Mode of Payment [Please (✓)]   | Mandatory Enclosure(s)*   |
|--|---|
| Cheque <input type="checkbox"/>  | In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account. |
| RTGS <input type="checkbox"/><br>NEFT <input type="checkbox"/><br>Fund Transfer <input type="checkbox"/> | Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.   |

\* JioBlackRock Mutual Fund / Jio BlackRock Asset Management Private Limited (JioBlackRock AMC) reserves the right to seek information and / or obtain such other additional documents / information from the Third Party for establishing the identity of the Third Party.

Amount in figures ₹

Cheque / UTR No.  Cheque / RTGS Date

Pay- in Bank Account No.

Name of the Bank

Bank Branch  Bank City

Account Type [Please tick (✓)] ☐ SAVINGS ☐ CURRENT ☐ NRE ☐ NRO ☐ FCNR ☐ OTHERS  (please specify)

#### 5. DECLARATIONS & SIGNATURE / S

- a. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions.
- b. JioBlackRock Mutual Fund / JioBlackRock AMC will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:
- a. Payment in respect of investments for minor investors from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.
- b. Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/ one time subscription, through Payroll deductions or deductions out of expense reimbursements.
- c. Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.
- d. Payment by a Corporate to its Agent /Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum/one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
- e. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
- i. Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. Third party.
- ii. Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. Third party.
- iii. Verifying the source of funds to ensure that funds have come from the drawer's account only.
- f. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, additional details such as Occupation, Gross Annual Income/Net Worth, and Politically Exposed Person (PEP) status, which were previously part of the uniform KYC form, will now be included in the JioBlackRock Mutual Fund application form. Additionally, details regarding the nature of services (e.g., Foreign Exchange, Gaming, Money Lending) for the first/sole applicant must be provided as part of the Client Due Diligence (CDD) process for JioBlackRock Mutual Fund.

The said details are mandatory for both Individual and Non-Individual applicants. \*PEP is defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

- g. Investors are advised that any application for subscription to Units of the JioBlackRock Mutual Fund Schemes, accompanied by Third Party payments (except for the exceptional cases as described in Rule (b) above, is subject to rejection. This rejection will occur without any recourse to the Third Party or the applicant investor(s).

The above-mentioned Third-Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of JioBlackRock AMC or visit our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com) for any further information or updates on the same.

#### Applicable to NRIs / PIO / OCIs only:

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc. of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.

Please (✓) ☐ Yes ☐ No

If yes, (✓) ☐ Repatriation basis

☐ Non-repatriation basis

Signature of the Third Party

#### BENEFICIAL INVESTOR(S) DECLARATION

I / We hereby confirm that the information provided herein by the Third Party is true and correct.

#### Applicable to Guardian receiving funds on behalf of Minor only:

I / We confirm that I / We are the guardian of the Minor registered in folio and have no objection to the funds received towards Subscription of Units in this Scheme(s) on behalf of the minor.

Sign below as per mode of holding

1st Holder/Guardian/  
Authorised Signatory

2nd Holder/Authorised Signatory

3rd Holder/Authorised Signatory

POA Holder

### THIRD PARTY PAYMENT RULES

1. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds / asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions
- 2a. The following words and expressions shall have the meaning specified herein:

- (a) "Beneficial Investor" is the first named applicant / investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
- (b) "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.
- (c) "Third Party payment" is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant / investor mentioned in the application form.

#### Illustrations

Illustration 1: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

Illustration 2: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

Illustration 3: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in name of A. This will not be considered as Third Party payment.

- 2b. The Fund / JioBlackRock AMC will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation / declarations:
  - (i) Payment in respect of investments for minor investors from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.
  - (ii) Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/ one time subscription, through Payroll deductions or deductions out of expense reimbursements.
  - (iii) Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.
  - (iv) Payment by a Corporate to its Agent/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum/one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
- 2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
  - (i) Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party.
  - (ii) Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party.
  - (iii) Verifying the source of funds to ensure that funds have come from the drawer's account only.
- 2d. **In accordance with SEBI Circular No. CIR / MIRSD / 13 / 2013 dated December 26, 2013, the additional details** viz. Occupation details, Gross Annual Income / networth and Politically Exposed Person (PEP)\* status mentioned under section 4 & 5 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange / Gaming / Money Lending, etc., (applicable for first / sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

#### The said details are mandatory for both Individual and Non Individual applicants.

*\*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc.*

- 2e. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of JioBlackRock Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of JioBlackRock AMC or visit our website [www.jioblackrockamc.com](http://www.jioblackrockamc.com) for any further information or updates on the same.





Investor Name

PAN

- ☐ I / We hereby confirm that the above stated entity / organization is **NOT** falling under Non-profit organization as defined above or in PMLA Act / Rules thereof.
- ☐ I/We hereby confirm that above stated entity / organization is falling under "Non-profit organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

Registration Number of DARPAN portal

If not, please register immediately and confirm with the above information.

Declaration & Signature(s)

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsors, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

|                      |                      |                      |
|----------------------|----------------------|----------------------|
| Authorized Signatory | Authorized Signatory | Authorized Signatory |
|----------------------|----------------------|----------------------|

Place:

Date: D D M M Y Y Y Y

PAN / PEKRN

TIME STAMP HERE

Name of Investor